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1 **Title: Adverse effect of outdoor air pollution on cardiorespiratory fitness in Chinese children**

2

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19

20

21 **Abbreviations**

22 ATS-DLD-78-C: the Children's Questionnaire recommended by the American Thoracic Society

23 BMI: body mass index

24 HPD: high-pollution district

25 LPD: low-pollution district

26 MFT: multistage fitness test

27 MPD: moderate-pollution district

28 NO₂: nitrogen dioxide

29 O₃: ozone

30 PM : particulate matter

31 PM₁: particulate matter less than 1 micrometers in diameter

32 PM₁₀: particulate matter less than 10 micrometers in diameter

33 SO₂: sulfur dioxide

34 VO_{2max}: maximal oxygen uptake

35

36

37 **Abstract**

38 Little is known about the health impact of air pollution on children's cardiovascular health. A
39 cross-sectional study was conducted and data was analysed in 2,048 Chinese schoolchildren (aged
40 8-10 years) in three districts of Hong Kong to examine the association between exposure to outdoor
41 air pollution and cardiorespiratory fitness. Annual means of ambient PM₁₀, SO₂, NO₂ and O₃ from
42 1996 to 2003 were used to estimate individual exposure of the subjects. Cardiorespiratory fitness
43 was measured for maximal oxygen uptake (VO_{2max}), predicted by the multistage fitness test (MFT).
44 Height and weight were measured and other potential confounders were collected with
45 questionnaires. Analysis of covariance was performed to estimate the impact of air pollution on
46 complete speed in the MFT and predicted VO_{2max}. The results showed that children in
47 high-pollution district had significantly lower complete speed and predicted VO_{2max} compared to
48 those in low- and moderate-pollution districts. Complete speed and predicted VO_{2max} was estimated
49 to reduce 0.327 km/h and 1.53 ml·kg⁻¹·min⁻¹ per 10 µg/m³ increase in PM₁₀ annual mean
50 respectively, with those in girls being greater than in boys. Being physically active could not
51 significantly result in improved cardiorespiratory fitness in polluted districts. The adverse effect
52 seems to be independent of short-term exposure to air pollution. We concluded that long-term
53 exposure to higher outdoor air pollution levels was negatively associated with cardiorespiratory
54 fitness in Chinese schoolchildren, especially for girls. PM₁₀ is the most relevant pollutant of the
55 adverse effect. Elevated cardiorespiratory fitness observed in physically activate children could be
56 negated by increased amount of inhaled pollutants during exercise.

57

58 **Key words:** air pollution; long-term effect; cardiorespiratory fitness;

59 maximal oxygen uptake; children

60

61

62 **1. Introduction**

63 The adverse effects of exposure to ambient air pollution have been studied with various study
64 design on various outcome indicators, especially respiratory and cardiovascular health (WHO, 2006;
65 Brook, 2007; Ruckerl et al., 2011; Zanobetti et al., 2011). Although both gaseous air pollutants (e.g.
66 O₃, NO₂, SO₂) and particulate matters (PM) can instigate adverse health effects, the most
67 compelling evidence implicates PM is an important risk factor for disease in humans . In addition,
68 the overall evidence currently indicates that the greatest adverse effects of PM occur in the
69 cardiovascular system though exposure to PM was once believed to pose a health risk
70 predominantly to the lungs (Brook, 2007; Pope et al., 2006; Brook et al., 2010).

71

72 Maximal oxygen uptake (VO_{2max}) is the highest rate at which oxygen can be taken up and utilized
73 by the body during severe exercise and is usually expressed in milliliters of oxygen per kilogram of
74 body weight per minute (ml·kg⁻¹·min⁻¹) (Bassett et al., 2000). VO_{2max} has been considered the single
75 gold standard for measurement of cardiorespiratory fitness, a strong predictor of cardiovascular
76 diseases and mortality (Bassett et al., 2000; Wagner, 1996; Ortega et al., 2008; Kodama et al., 2009).
77 A recent systematic review further indicated strong evidence on a positive association between
78 cardiorespiratory fitness in childhood and adolescence and cardiovascular profile later in life (Ruiz
79 et al., 2009). Cardiorespiratory fitness is mainly dependent on the ability of the cardiovascular
80 system to deliver oxygen to working muscles and the cellular ability to take up and utilize this
81 oxygen in energy production. The chain of physiologic events involved in exercise can be adversely
82 influenced by air pollution which have been linked to reduced lung function, impaired pulmonary
83 gas diffusion, decreased arterial oxygenation, altered autonomic innervations of the heart, cardiac
84 ischemia, impaired vasoregulation of blood vessels, and increased blood viscosity (Brook, 2007;
85 Ruckerl et al., 2011; Zanobetti et al., 2011). However, evidence of the adverse effect of air pollution
86 on cardiorespiratory fitness is scarce.

87

88 A Canadian study (n=5,011, aged 6-79 years) with a cross-sectional study design revealed that
89 short-term ambient exposure could decrease cardiorespiratory fitness indicated by aerobic fitness
90 score (Cakmak et al., 2011). To our knowledge, there is only one study examining the chronic effect
91 on cardiorespiratory fitness by our colleagues more than 10 years ago (Yu et al., 2004). Reduced
92 predicted VO_{2max} were observed in primary schoolchildren living in a more polluted district in
93 Hong Kong. As the study was conducted more than 10 years ago, air pollution level and air
94 pollutant components have since changed (Environmental Protection Department of Hong Kong,
95 <http://www.epd.gov.hk/>). This study aimed at examining the health effect of ambient air pollution
96 on cardiorespiratory fitness indicated by predicted VO_{2max} by the multistage fitness test (MFT)
97 among schoolchildren who lived in three districts in Hong Kong with different air pollution levels.
98 Beneficial effect of physical activity was also examined among children with different exposure
99 levels.

100

101 **2. Methods**

102 *2.1 Subjects*

103 The cross-sectional study was conducted among Hong Kong primary school students in 2004. Hong
104 Kong has 18 administrative districts, 11 of which have been set up a general air quality monitoring
105 station, including one located in a rural island to measure the background air quality
106 (Environmental Protection Department of Hong Kong, <http://www.epd.gov.hk/>). Three districts,
107 labelled as low-pollution district (LPD), moderate-pollution district (MPD) and high-pollution
108 district (HPD), were chosen from the ten districts with urban general air monitoring stations based
109 on their rank of the average annual mean concentrations of PM_{10} during 1996 to 2003, as it is the
110 major ambient air pollutant in Hong Kong and previous studies suggests that particulate matter has
111 the strongest relationship with cardiovascular morbidity and mortality (Brook, 2007; Ruckerl et al.,
112 2011; Environmental Protection Department of Hong Kong, <http://www.epd.gov.hk/>). All of the
113 three districts are urban areas, mainly mixed with residential and commercial buildings. Three to

114 four primary schools in each district, which are located within 1 km from the local air monitoring
115 station and apart within 1 km from each other, were invited to participate into the study (Figure 1).
116 All eligible students in third and fourth grades were investigated and written informed consents
117 were obtained from their parents in advance. Approval was obtained from the Ethics Committees at
118 the Chinese University of Hong Kong. In order to reduce misclassification, only Chinese students
119 aged 8-10 years who had been currently living in their school district for more than 12 consecutive
120 months before the study were recruited. In addition, students who were recommended by doctors
121 not to take PE classes were excluded.

122

123 2.2 *Data collection*

124 2.2.1 *Air pollution data*

125 Four typical ambient air pollutants, including PM₁₀, NO₂, SO₂ and O₃, were studied. Annual means
126 in 1996-2003 and in the year prior to the mid-time point of the study (May 2003 to April 2004)
127 were obtained from the Environmental Protection Department of Hong Kong to estimate the
128 life-time and current exposure levels of the participants respectively (Environmental Protection
129 Department of Hong Kong, <http://www.epd.gov.hk/>). Hourly mean averages during 7am to 2pm on
130 the 13 days of test and 24-hour means for PM₁₀, NO₂, SO₂ and 8-hour maximal value for O₃ on one
131 day before each test day were also calculated to reflect the short-term exposure on the spot. Air
132 temperature (°C) and relative humidity (%) on the test days were also obtained as potential
133 confounders.

134

135 2.2.2 *Questionnaire survey*

136 Two self-administered questionnaires were developed to collect children's personal and household
137 information based on the Children's Questionnaire recommended by the American Thoracic Society
138 (ATS-DLD-78-C) (Ferris, 1978). Parents completed one questionnaire on socioeconomic status,
139 children's respiratory symptoms and diseases in the past 12 months, parental history of asthma,

140 housing indoor environmental factors, as well as children's birth weight, birth place and
141 breastfeeding history. Children reported on their gender, sex, smoking habits, as well as time spent
142 outdoors, amount and type of physical activities, member of sports team and playing with furry toys
143 in the past 12 months.

144

145 2.2.3 *Anthropometry and multistage fitness test (MFT)*

146 Anthropometry and multistage fitness test (MFT) were performed in the schools in the morning
147 from April to June in 2004. In Hong Kong, the three months are hot and humid with occasional
148 showers and thunderstorms (Leung et al., 2008). It has been reported that monthly mean of daily
149 mean temperature in 1971-2000 was 22.5°C in April, 25.8°C in May and 27.9°C in June and that of
150 daily mean relative humidity was 83%, 84% and 82% respectively (Leung et al., 2008). Height (cm)
151 and weight (kg) were firstly measured by FISCO measuring tape (CMS Weighing Equipment Ltd.)
152 and Tanita electronic digital scale (Model No. HD305) following standard anthropometric methods.
153 Body mass index (BMI, kg/m²) was then calculated.

154

155 The MFT was originally developed by Leger and Lambert in 1982 and has been widely used as a
156 predictive field test for VO_{2max} in sports sciences (Bassett et al., 2000; Wagner, 1996; Leger et al.,
157 1988). Recent systematic reviews concluded that the MFT is a valid and reliable test to estimate
158 cardiorespiratory fitness (Castro-Pinero et al., 2010; Artero et al., 2011; Ruiz et al., 2011). The test
159 consists of 23 stages, each lasting about one minute and comprising a number of 20-meter laps.
160 Each MFT was carried out in a group of 8-12 students and each student was asked to run back and
161 forth on a track of about 1.5 m in width and required to reach the opposite 20-meter lines before or
162 at the same time when an audio sound signal (beep) was emitted from a prerecorded CD. The
163 frequency of the beeps increased in such a way that running speed was increased by 0.5 km/h each
164 minute from a starting speed of 8.5 km/h. The test ended when students missed two consecutive
165 beeps or voluntarily stopped because of fatigue. The total number of completed stages was recorded

166 and used to predict VO_{2max} with Leger's equation derived from healthy children aged 8-17 years
167 (Leger et al., 1988).

168

169 2.3 Data analysis

170 Statistical analyses were performed using the SPSS for windows 16.0 version. Percentages and
171 mean and standard deviation (SD) were calculated to describe the distribution of categorical and
172 continuous variables respectively. Chi-square and one-way ANOVA were applied to compare
173 between-group differences. Hierarchical linear regression was used to select variables as follows:
174 Step 1, background variables, including gender (for all subjects only), age, father's job and birth
175 place were forcedly entered in the model first; Step 2, other independent variables were then
176 selected using $P < 0.10$ and $P < 0.15$ as entry and removal criteria. Analysis of covariance (ANCOVA)
177 was performed to estimate the difference in complete speed of the MFT and predicted VO_{2max} after
178 adjustment for the background and the selected variables by the corresponding regression model.
179 Least significant difference (LSD) was applied for pair-wise comparisons. Adjusted mean and mean
180 difference and their standard error (SE) were derived.

181

182 3. Results

183 The long-term trends of air pollutants in the three districts were plotted in Figure 2. The
184 concentrations of PM_{10} , SO_2 and NO_2 were low, but that of O_3 was high in LPD. In MPD, the
185 concentration of NO_2 was high, but those of PM_{10} , SO_2 and O_3 were moderate. In HPD,
186 concentrations of PM_{10} , SO_2 and NO_2 were all high but O_3 concentration was low. The mean daily
187 concentrations on and before the test days varied from 20-94 $\mu g/m^3$ for PM_{10} , from 25-97 $\mu g/m^3$ for
188 NO_2 , from 8-82 $\mu g/m^3$ for SO_2 , and from 6-109 $\mu g/m^3$ for O_3 . The air temperature and relative
189 humidity on the test days ranged from 19.7-35.1°C and 72-87% respectively.

190

191 A total of 3,186 students in 11 primary schools were approached and a participation rate of 82.9%

192 (n=2,641) was achieved. There were 95.9% (n=2,534) and 94.5% (n=2,497) of the participants
193 completing questionnaires and measured of cardiorespiratory fitness respectively. About 331
194 participants failed to meet the selection criteria and therefore were excluded from data analysis,
195 leaving 2048 students with data analysed. Table 1 summarises percentages of personal and
196 household characteristics of the students. Differences across the districts reached significance in
197 father's job, birth place, residence relocation, having been breastfed, cough, physical activity,
198 member of sports team, playing with furry toys, more time spent outdoors, mould in the home,
199 incense burning and passive smoking. In terms of the continuous variables, complete speed of the
200 MFT and predicted VO_{2max} in both genders were significantly different across the districts (Table 2).
201 Boys were significantly higher than girls in weight, BMI and predicted VO_{2max} .

202

203 Table 3 compares means for complete speed and predicted VO_{2max} among the three districts after
204 adjustment for other confounding factors. Children in HPD had significantly lower complete speed
205 and predicted VO_{2max} than those in LPD and MPD. The differences remained significant after
206 stratification by gender, with the adverse effect being slightly higher in girls. There were no
207 significant differences in the two outcome variables between children in LPD and MPD, except
208 marginally higher values in LPD were found in girls. Complete speed and predicted VO_{2max} were
209 estimated to decrease 0.327 km/h and 1.53 $ml \cdot kg^{-1} \cdot min^{-1}$ per 10 $\mu g/m^3$ increase in PM_{10} annual
210 mean respectively, with those in girls being larger than boys. Covariates adjusted for in the models
211 were listed in Table 3.

212

213 Complete speed of the MFT and predicted VO_{2max} values between children who were physically
214 active and those who were not were compared after stratification by district and controlling for
215 other confounders (Table 4). In LPD, physical active children had significantly higher means for
216 both complete speed and predicted VO_{2max} compared to those with physical inactivity before and
217 after stratification by gender. The favourable effects of physical exercise became smaller and

218 insignificant in more polluted districts, suggesting that air pollution may impede children benefiting
219 from physical activity.

220

221 **4. Discussion**

222 The association between exposure to ambient air pollution and cardiorespiratory fitness indicated by
223 predicted VO_{2max} of the MFT was examined among 2,048 Chinese schoolchildren aged 8-10 years
224 in Hong Kong. After adjustment for potential confounders, predicted VO_{2max} and complete speed in
225 the MFT were negatively associated with air pollution level. Children in HPD had significantly
226 lower values compared to those in LPD and MPD, suggesting an adverse effect of air pollution on
227 children's cardiorespiratory fitness. Predicted VO_{2max} and complete speed were estimated to reduce
228 $1.53 \text{ ml}\cdot\text{kg}^{-1}\cdot\text{min}^{-1}$ and 0.327 km/h per $10 \text{ }\mu\text{g}/\text{m}^3$ increase in PM_{10} annual mean respectively, with
229 those in girls being greater than in boys. Being physically active could not significantly result in
230 improved cardiorespiratory fitness in children who lived in more polluted districts (HPD and MPD).
231 The adverse effect seems to be independent of short-term exposure to air pollution, which was
232 controlled for in data analysis.

233

234 The findings on predicted VO_{2max} among children were much higher than that reported by Yu et al.
235 (2004), despite the identical methods used in conducting the MFT ($45.0 \text{ ml}\cdot\text{kg}^{-1}\cdot\text{min}^{-1}$ vs. 29.3
236 $\text{ml}\cdot\text{kg}^{-1}\cdot\text{min}^{-1}$). Several equations have been advocated in the prediction of VO_{2max} among general
237 children and adolescents, which may yield different values in a given complete stage and/or lap
238 (Castro-Pinero et al., 2010). Some studies have cross-validated those equations and found
239 significant relationship across them (Castro-Pinero et al., 2010). The higher estimates in this study
240 could have been due to differences in the equations used to predict VO_{2max} from the MFT results.
241 However, the results are consistent with other studies among healthy general children in the same
242 age range (varied from 45.1 - $56.0 \text{ ml}\cdot\text{kg}^{-1}\cdot\text{min}^{-1}$) (Leger et al., 1988; Barnett et al., 1993; Matsuzaka
243 et al., 2004). In addition, direct comparisons of complete speed can avoid the discrepancies caused

244 by equations. In this study, the means for complete speed were 9.43 km/h in boys and 9.23 km/h in
245 girls respectively, in line with those reported by Olds et al in an analysis of 109 studies in 37
246 countries (Olds et al., 2006). Predicted VO_{2max} was also estimated using another two equations
247 derived by Matsuzaka et al. (2004), which are suitable to the subjects in term of the age range. The
248 outcomes revealed that there were slight but significant differences in predicted VO_{2max} values
249 across the three equations. However, those differences did not change the association between air
250 pollution and cardiorespiratory fitness much (data not shown). In addition, consistent association
251 was also observed with complete speed of the MFT, which avoids the discrepancies caused by
252 equations.

253

254 Nevertheless, the findings that air pollution is negatively associated with children's predicted
255 VO_{2max} were consistent with Yu's study (2004). Though the differences among districts were much
256 smaller than that observed in Yu's study (e.g. $-0.98 \text{ ml}\cdot\text{kg}^{-1}\cdot\text{min}^{-1}$ in the comparison of HPD and
257 LPD vs. $-1.9 \text{ ml}\cdot\text{kg}^{-1}\cdot\text{min}^{-1}$ in that study), the predicted VO_{2max} changes per $10 \mu\text{g}/\text{m}^3$ change in
258 PM_{10} annual mean were almost the same ($1.53 \text{ ml}\cdot\text{kg}^{-1}\cdot\text{min}^{-1}$ vs. $1.57 \text{ ml}\cdot\text{kg}^{-1}\cdot\text{min}^{-1}$, calculated with
259 the identical method). Hence, it is most likely that the larger differences in air pollutant
260 concentrations (especially PM_{10}) contribute the larger predicted VO_{2max} difference between districts
261 in Yu's study (2004). Another possible reason is the components of air pollution may have changed
262 since then and currently it may be less "toxic" than before due to a series of implementations to
263 improve air quality (Environmental Protection Department of Hong Kong, <http://www.epd.gov.hk/>).
264 In addition, air pollution data in this study was the annual mean average of an 8-year period
265 (1996-2003), which almost covered the lifetime of the children and therefore represented the
266 exposure level in their whole life. While in that study, only the past year's air pollution data was
267 collected and the exposure level in earlier time was unknown. It is therefore possible that the larger
268 difference in that study may due to a higher air pollution level in early time (Environmental
269 Protection Department of Hong Kong, <http://www.epd.gov.hk/>). Giving more than 80% of the

270 children lived in the current district for their whole life and the short-term exposure was adjusted for
271 (Table 3), the relationship observed in our study may better reflect the chronic adverse effect from
272 lifetime exposure. The short-term exposure in this study was indicated by pollutants' concentrations
273 on previous day and the day of the MFT, monitored by the study air monitoring stations. After
274 controlling for other confounding factors, only daily mean for SO₂ on previous day had significant
275 adverse effects on predicted VO_{2max} and complete speed (*B*=-0.013, *SE*=0.019, *P*=0.005 for
276 predicted VO_{2max}, *B*=-0.003, *SE*=0.001, *P*=0.005 for complete speed). Further gender-specific
277 analysis revealed that the adverse effect was significant for girls (*B*=-0.019, *SE*=0.006, *P*=0.001 for
278 predicted VO_{2max}, *B*=-0.004, *SE*=0.001, *P*=0.001 for complete speed), but not for boys (*B*=-0.009,
279 *SE*=0.007, *P*=0.187 for predicted VO_{2max}, *B*=-0.002, *SE*=0.002, *P*=0.175 for complete speed).
280 Other short-term exposure indicators were not significantly associated with predicted VO_{2max} and
281 complete speed in multivariate analysis, though some of them showed significant correlations in
282 univariate analysis (e.g. PM₁₀). Thus, SO₂ on previous day was finally involved in ANCOVA to
283 estimate the health effect of long-term exposure.

284

285 It is well-known that predicted VO_{2max} can be improved by regular aerobic exercise (Olds et al.,
286 2006). In this study, physical activity was not associated with a higher predicted VO_{2max} among
287 children in more polluted districts, in contrast to those living in the less polluted district. Individuals
288 who performed physical exercise in a polluted environment may be at an elevated risk because,
289 even at low intensities, a significant rise in pulmonary ventilation and diffusion capacity occurs,
290 meaning that concentration of inhaled pollutants will increase (Sharman et al., 2004). In addition,
291 oral breathing usually occurs during exercise; this can also increase the risk because the fractional
292 penetration of pollutants to the lung is greater when breathing by mouth than by nose (Sharman et
293 al., 2004). A recent study revealed that the total amount of PM_{0.1} deposited in the respiratory tract
294 during moderate exercise was about five times that at rest (Daigle et al., 2003). Another study
295 reported reduced maximal exercise performance among 15 healthy college-aged males after

296 short-term exposure to a high PM_{10} (Rundell et al., 2008). A Hong Kong study found that high level
297 of exercise (≥ 4 times/week) provided less protection against pollution-associated mortality than low
298 or moderate exercise (≥ 1 time/month and < 4 times/week), suggesting that increased amount of
299 inhaled pollutants during intensive exercise tends to negate the apparent benefits of exercise (Wong
300 et al., 2007). Our findings are in line with those studies. It is important to study the relation between
301 level of physical exercise and cardiorespiratory response to determine an appropriate exercise level
302 at different air pollutant concentrations to maximise its health benefits. Guidelines for appropriate
303 exercise time and places should also be established to the public to avoid physical exercise during
304 periods with high air pollutant concentrations (Campbell et al., 2005).

305

306 PM is a mixture of solid, liquid or solid and liquid particles suspended in the air and varies in size,
307 composition and origin (WHO, 2006). PM_{10} is particles below 10 μm aerodynamic diameter, which
308 can enter the respiratory tract. As the most commonly used indicator, PM_{10} can be further divided
309 into coarse particles ($PM_{2.5-10}$, particles between 2.5-10 μm in diameter) and fine particles ($PM_{2.5}$,
310 particles less than 2.5 μm) (Brook, 2007). Both $PM_{2.5-10}$ and $PM_{2.5}$ are present, whilst the proportion
311 of two varies substantially between cities around the world, depending on local geography,
312 meteorology and specific PM sources (WHO, 2006). $PM_{2.5}$ has recently received the vast majority
313 of the attention and been regarded to being the primary PM-related mediator of human
314 cardiovascular diseases. Evidence from existing epidemiological studies has shown similar effect
315 estimates of $PM_{2.5}$ for a wide range of cities in both developed and developing countries, suggesting
316 that the health effects of $PM_{2.5}$ may not vary much according its composition and origin (WHO,
317 2006). Recent studies in which PM_{10} size fractions and/or constituents have been measured suggest
318 that the observed effects of PM_{10} are in fact largely associated with $PM_{2.5}$, not with $PM_{2.5-10}$ (Brook,
319 2007; Pope et al., 2006). Hong Kong started monitoring $PM_{2.5}$ in 1998 (Environmental Protection
320 Department of Hong Kong, <http://www.epd.gov.hk/>). In Hong Kong, measurement of $PM_{2.5}$ started

321 in HPD in 2005 and in other two districts (MPD and LPD) in 2011, making it impossible to
322 calculate the ratio of PM_{2.5} to PM₁₀ in each district for the study period (1996-2004) (Environmental
323 Protection Department of Hong Kong, <http://www.epd.gov.hk/>). Qiu et al analysed data from an
324 urban general monitoring station in 2000-2005 and revealed that the ratio of PM_{2.5} to PM₁₀ ranged
325 from 40% to 98% in terms of daily mean concentrations, with an average of 70%. The results are
326 likely to be more representative of Hong Kong's air quality in general (Qiu et al., 2012). With the
327 average ratio, we estimated that a 10 µg/m³ increase of PM_{2.5} annual mean could result in a 2.19
328 ml·kg⁻¹·min⁻¹ decrease of predicted VO_{2max} and a 0.467 km/h decrease of complete speed
329 respectively.

330

331 In this study, MPD had the highest level of NO₂, followed by HPD and then LPD. In order to
332 examine whether NO₂ would affect predicted VO_{2max} or not we combined data of HPD and LPD to
333 compare with MPD. No significant difference was found between the two groups (45.31
334 ml·kg⁻¹·min⁻¹ vs. 45.52 ml·kg⁻¹·min⁻¹, P=0.344), suggesting that NO₂ may not be associated with
335 cardiorespiratory fitness. Although O₃ was highest in LPD and lowest in HPD, it is biologically
336 implausible that exposure to high O₃ can promote cardiorespiratory fitness. Moreover, the overall
337 evidence indicates that it is PM rather than gaseous pollutants (e.g. O₃, NO₂) responsible for
338 cardiovascular morbidity and mortality (Brook, 2007; Ruckerl et al., 2011; Zanobetti et al., 2011).
339 Hence, the decreased cardiorespiratory fitness in this study is likely due to exposure to PM₁₀, whose
340 concentration is negatively correlated with that of O₃.

341

342 There are several limitations in this study. This study cannot explain the cause-effect relationship
343 between air pollution and cardiorespiratory fitness due to the cross-sectional study design. The
344 limited number of districts, each with a mixture of several pollutants, constrained our ability to
345 examine their individual effects on health. Furthermore, air pollutants were not consistently high or
346 low across the study districts and over the time and the annual mean differences among the districts

347 were relatively small (e.g. annual mean for PM₁₀ in HPD was 8.7 µg/m³ higher than that in LPD in
348 1996-2003). Thus, further studies in areas with clear, consistent and well-defined contrasts are
349 needed to confirm the observed association between air pollution and predicted VO_{2max} among
350 children. It is noteworthy that air pollution level in Hong Kong is relatively higher than many
351 countries in Europe. Whether the relationship exists with low exposure or not remains to be
352 clarified. Like most studies, ambient air pollution data in the districts were surrogates for individual
353 exposure. Hence, misclassification in exposure cannot be excluded. In addition, VO_{2max} was not
354 directly measured but predicted by the MFT. Direct measurement of VO_{2max} demands sophisticated
355 instrumentation, laboratory time and trained technicians and cannot deal with a large sample size.
356 Hence it is not suitable for population-based studies. However, the MFT has been widely used
357 because of its reliability and validity (Castro-Pinero et al., 2010; Artero et al., 2011). A satisfactory
358 MFT demands the subjects' motivation and experiences to achieve their VO_{2max}. To minimize these
359 possible influences, the children were briefed in detail and observed a demonstration before the test.
360 The importance of maximal effort was also stressed. During the test, they received standardized
361 verbal encouragements and a pacer ran with them in the first stage to ensure high reliability of the
362 test according to the suggestions by other researchers (Cressler et al., 1988; Pitetti et al., 2001).

363

364 **5. Conclusions**

365 In conclusion, exposure to ambient air pollution is significantly and negatively related with
366 cardiorespiratory fitness among Chinese schoolchildren. The adverse effects are larger in girls.
367 PM₁₀ may be the most relevant pollutant affecting cardiorespiratory fitness. Improved
368 cardiorespiratory fitness by physical activity could be negated by increased amount of inhaled
369 pollutants during exercise. Given the importance of cardiorespiratory fitness to cardiovascular and
370 respiratory health and the feasibility of the MFT in field to measure predicted VO_{2max}, it is
371 necessary to conduct further studies in other groups exposed to different levels of air pollutants. In
372 addition, there is a need for cohort studies to determine the cause-effect relationship.

373

374 **Contributorship**

375 Dr. Gao designed the study, conducted the survey, did the data analysis and wrote up the MS. Prof.
376 Chan participated in writing up the MS and revised it. Ms. Zhu participated in data analysis and
377 revised the MS. Prof. Wong led and supervised the study and revised the MS.

378

379

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383

384 **Conflict of interest**

385 All of the authors state that there is no conflict of interest for this MS.

386

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388 None

389

390 **References**

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Figure legends:

Figure 1: Location of the study air monitoring stations and primary schools

Figure 2: Annual mean concentrations of air pollutants from 1996-2003

Figure 1
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- ▲ Air monitoring station
- Study school

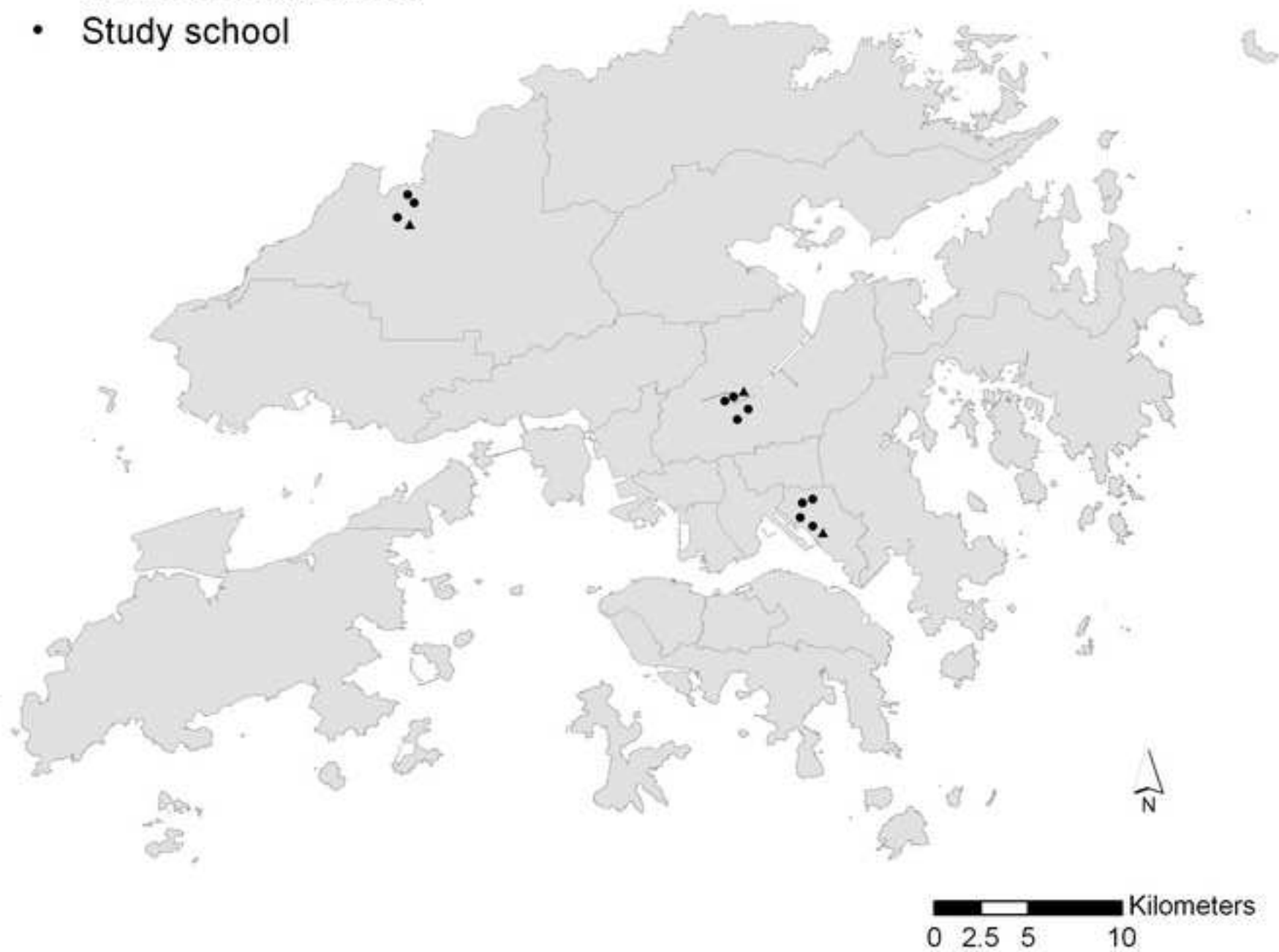
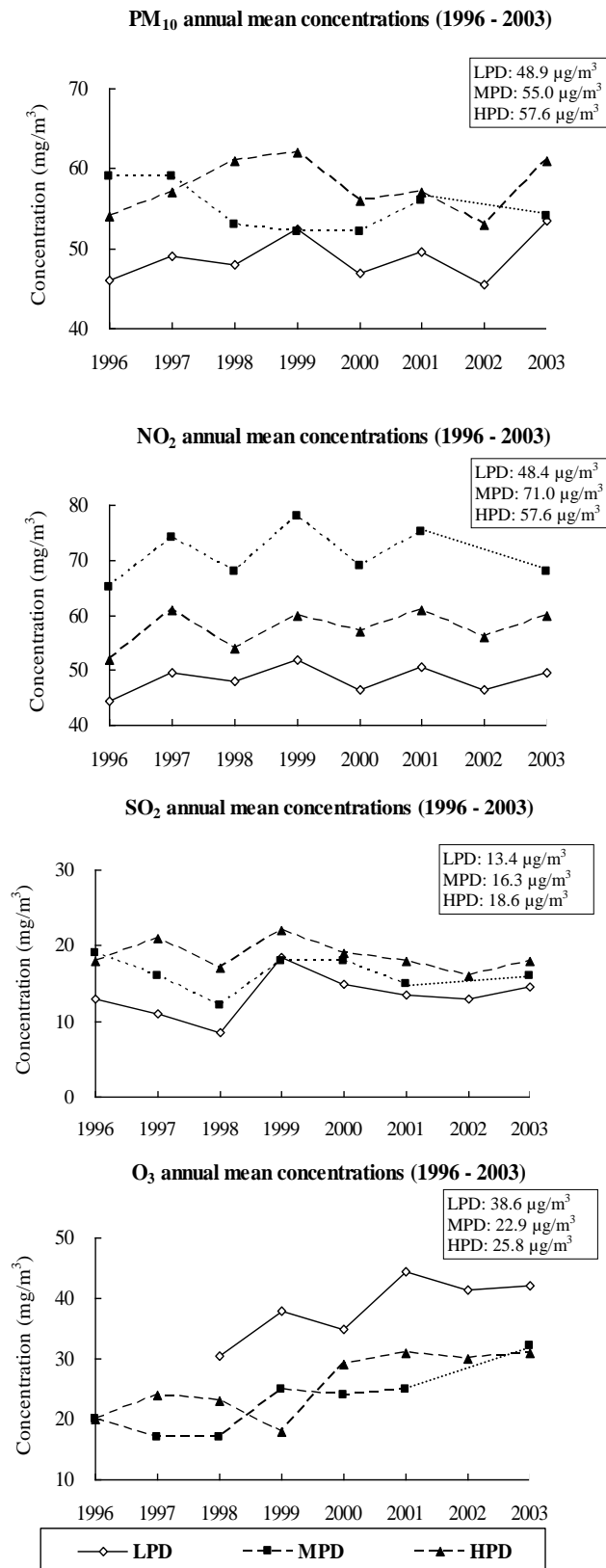


Figure 2



Note:

1. Annual means in 2002 in MPD were not calculated due to insufficient data measured.
2. O₃ measurement in LPD started since 1998.
3. Annual mean average in 1996-2003 were presented in boxes

Figure 2 Annual mean concentrations of air pollutants from 1996-2003

Table 1
Characteristics of the subjects

	Boys (n=1056)			Girls (n=992)		
	LPD (n=451)	MPD (n=360)	HPD (n=245)	LPD (n=402)	MPD (n=327)	HPD (n=263)
Personal characteristics						
Blue-collar job of father ^a (%)	63.7 ^{***}	86.1 ^{***}	80.5 ^{***}	54.9 ^{***}	80.6 ^{***}	81.3 ^{***}
Born in Hong Kong (%)	91.8 ^{***}	76.7 ^{***}	86.1 ^{***}	93.7 ^{***}	75.3 ^{***}	86.0 ^{***}
Never relocated ^b (%)	86.0 ^{***}	75.0 ^{***}	88.8 ^{***}	85.0 [*]	77.7 [*]	83.6 [*]
Low birth weight ^c (%)	10.1	8.1	7.6	9.3	13.2	9.4
Having been breastfed (%)	27.8 ^{***}	47.5 ^{***}	26.6 ^{***}	27.8 ^{**}	36.2 ^{**}	17.6 ^{**}
Wheezing (%)	5.0	4.8	5.9	3.6	4.8	6.0
Cough (%)	19.7	24.9	18.9	16.2 ^{***}	26.3 ^{***}	27.2 ^{***}
Phlegm (%)	34.0	31.3	33.8	31.0	32.7	38.0
Asthma (%)	3.1	2.6	4.2	2.1	1.7	2.5
Bronchitis (%)	12.8	9.7	13.0	10.1	10.5	11.5
Active smoking (%)	0.2	1.3	0.8	0.0	0.0	0.0
Physically active ^d (%)	41.9 ^{***}	29.3 ^{***}	38.8 ^{***}	39.3 ^{**}	29.8 ^{**}	30.6 ^{**}
Participated in sports team (%)	26.4 ^{***}	13.7 ^{***}	25.1 ^{***}	23.6 [*]	17.2 [*]	23.0 [*]
Playing with furry toys (%)	18.7 [*]	18.2 [*]	11.4 [*]	47.4	45.9	50.6
More outdoors ^e (%)	50.7	48.1	48.7	49.8	50.3	51.8
Housing environmental characteristics						
Mould in the home (%)	26.3 ^{**}	21.8 ^{**}	14.3 ^{**}	22.9 [#]	22.2 [#]	16.5 [#]
Having new furniture (%)	15.9	18.6	16.3	18.7	21.9	18.3
Incense burning (%)	20.8 [*]	29.0 [*]	24.3 [*]	17.5	20.5	20.9
Passive smoking (%)	16.5 ^{***}	29.5 ^{***}	24.0 ^{***}	17.8 ^{***}	35.1 ^{***}	30.9 ^{***}

LPD: low-pollution district; MPD: moderate-pollution district; HPD: high-pollution district.

#: P<0.10; *: P<0.05; **: P<0.01; ***: P<0.001.

a: Including service workers, shop sales workers, skilled workers, unskilled workers, and other kindred workers.

b: Indicating that children who lived in the current district for their whole life and never removed out to or moved in from other place.

c: Birth weight less than 2500 g.

d: Taking part in sports and/or vigorous free play at least three times a week for at least 30 minutes each time.

e: Indicating that children who spent more hours per week outdoors than the median value for their respective district and gender.

Table 2

Distribution of age, anthropometry, multistage fitness test and predicted $\text{VO}_{2\text{max}}$ ($\text{ml}\cdot\text{kg}^{-1}\cdot\text{min}^{-1}$)^a by air pollution level and gender

	All	LPD	MPD	HPD
	Mean (SD)	Mean (SD)	Mean (SD)	Mean (SD)
Boys				
Age (year)	9.00 (0.70)	8.98 (0.71)	9.05 (0.71)	8.96 (0.66)
Height (cm)	134.6 (6.8)	134.3 [#] (6.8)	135.3 [#] (6.8)	134.2 [#] (6.5)
Weight (kg)	32.4 (8.1)	32.1 (8.3)	32.8 (8.3)	32.1 (7.4)
BMI (kg/m^2)	17.7 (3.2)	17.6 (3.2)	17.7 (3.3)	17.7 (3.1)
Speed (km/h)	9.434 (0.655)	9.486 ^{**} (0.644)	9.453 ^{**} (0.672)	9.312 ^{**} (0.635)
$\text{VO}_{2\text{max}}$ ($\text{ml}\cdot\text{kg}^{-1}\cdot\text{min}^{-1}$) ^a	45.40 (3.08)	45.67 ^{**} (3.04)	45.40 ^{**} (3.07)	44.90 ^{**} (3.13)
Girls				
Age (year)	8.95 (0.71)	8.96 (0.72)	8.96 (0.71)	8.94 (0.69)
Height (cm)	134.4 (7.4)	134.1 (7.2)	135.1 (7.3)	133.9 (7.8)
Weight (kg)	31.0 (7.6)	30.7 (7.0)	31.2 (7.7)	31.1 (8.1)
BMI (kg/m^2)	17.0 (2.9)	16.9 (2.8)	16.9 (2.9)	17.2 (3.1)
Speed (km/h)	9.233 (0.512)	9.324 ^{***} (0.505)	9.241 ^{***} (0.553)	9.084 ^{***} (0.431)
$\text{VO}_{2\text{max}}$ ($\text{ml}\cdot\text{kg}^{-1}\cdot\text{min}^{-1}$) ^a	44.57 (2.51)	44.99 ^{***} (2.47)	44.60 ^{***} (2.61)	43.88 ^{***} (2.30)

LPD: low-pollution district; MPD: moderate-pollution district; HPD: high-pollution district.

SD: Standard deviation.

#: $P < 0.10$; *: $P < 0.05$; **: $P < 0.01$; ***: $P < 0.001$.

a: $\text{VO}_{2\text{max}}$ was predicted with multistage fitness test using Leger's equation.

Table 3Adjusted means/mean differences (M/MD) of air pollution for complete speed and predicted VO_{2max}^a

	LPD	MPD	HPD	HPD-LPD	MPD-LPD	HPD-MPD	Average change ^b	Covariates adjusted
	M (SE)	M (SE)	M (SE)	MD (SE)	MD (SE)	MD (SE)	(per 10 µg/m ³ PM ₁₀)	
All								
Speed (km/h)	9.477 ^{***} (0.028)	9.445 ^{***} (0.029)	9.266 ^{***} (0.033)	-0.211 ^{***} (0.033)	-0.033 (0.030)	-0.178 ^{***} (0.034)	-0.327	girl (-) ^{***} , age, blue-collar job of father, born in Hong Kong (-) [*] , BMI (-) ^{***} , cough (-) [*] , physical activity(+) ^{***} , member of sports team (+) ^{***} , playing with furry toys (-) ^{***} , SO ₂ on previous day (-) ^{**}
Predicted VO _{2max} (ml·kg ⁻¹ ·min ⁻¹)	45.67 ^{***} (0.13)	45.52 ^{***} (0.13)	44.69 ^{***} (0.15)	-0.98 ^{***} (0.16)	-0.15 (0.14)	-0.84 ^{***} (0.16)	-1.53	
Boys								
Speed (km/h)	9.609 ^{**} (0.036)	9.605 ^{**} (0.040)	9.448 ^{**} (0.044)	-0.161 ^{**} (0.046)	-0.004 (0.043)	-0.157 ^{**} (0.048)	-0.265	age, blue-collar job of father, born in Hong Kong (-) [*] , BMI (-) ^{***} , physical activity(+) ^{***} , member of sports team (+) ^{***}
Predicted VO _{2max} (ml·kg ⁻¹ ·min ⁻¹)	46.22 ^{**} (0.17)	46.21 ^{**} (0.19)	45.46 ^{**} (0.21)	-0.75 ^{***} (0.21)	-0.01 (0.20)	-0.74 ^{**} (0.22)	-1.24	
Girls								
Speed (km/h)	9.396 ^{***} (0.035)	9.322 ^{***} (0.035)	9.153 ^{***} (0.041)	-0.243 ^{***} (0.042)	-0.074 [#] (0.039)	-0.169 ^{***} (0.043)	-0.350	age, blue-collar job of father, born in Hong Kong (-) [#] , height (+), BMI (-) ^{***} , cough (-) [*] , physical activity(+) ^{**} , member of sports team (+) ^{***} , playing with furry toys (-) ^{***} , SO ₂ on previous day (-) ^{**}
Predicted VO _{2max} (ml·kg ⁻¹ ·min ⁻¹)	45.35 ^{***} (0.16)	45.01 ^{***} (0.17)	44.22 ^{***} (0.19)	-1.13 ^{***} (0.20)	-0.34 [#] (0.18)	-0.79 ^{***} (0.20)	-1.63	

LPD: low-pollution district; MPD: moderate-pollution district; HPD: high-pollution district.

SE: standard error.

a: VO_{2max} was predicted with multistage fitness test using Leger's equation.b: the average of changes in VO_{2max} per 10 µg/m³ increase in PM₁₀ annual mean among the three pairs of comparisons.

Table 4Adjusted mean and mean differences (M/MD) of physical activity for complete speed and predicted VO_{2max}^a by air pollution level

	Complete speed (km/h)			Predicted VO _{2max} (ml·kg ⁻¹ ·min ⁻¹)		
	Physical activity (PA)	Physical inactivity (PIA)	PA - PIA	Physical activity (PA)	Physical inactivity (PIA)	PA - PIA
	M (SE)	M (SE)	MD (SE)	M (SE)	M (SE)	MD (SE)
All						
LPD	9.554 ^{***} (0.045)	9.360 ^{***} (0.043)	0.194 ^{***} (0.038)	46.04 ^{***} (0.21)	45.14 ^{***} (0.20)	0.89 ^{***} (0.18)
MPD	9.451 [*] (0.0504)	9.340 [*] (0.044)	0.112 [*] (0.046)	45.50 [*] (0.24)	44.98 [*] (0.21)	0.52 [*] (0.22)
HPD	9.311 (0.055)	9.245 (0.054)	0.066 (0.052)	44.94 (0.26)	44.62 (0.25)	0.31 (0.24)
Boys						
LPD	9.694 ^{***} (0.056)	9.468 ^{***} (0.055)	0.226 ^{***} (0.054)	46.66 ^{***} (0.26)	45.62 ^{***} (0.25)	1.04 ^{***} (0.25)
MPD	9.549 (0.074)	9.437 (0.064)	0.112 (0.069)	45.84 (0.35)	45.33 (0.30)	0.51 (0.32)
HPD	9.500 (0.080)	9.371 (0.078)	0.129 (0.079)	45.75 (0.37)	45.14 (0.36)	0.61 (0.37)
Girls						
LPD	9.448 ^{**} (0.060)	9.286 ^{**} (0.056)	0.162 ^{**} (0.050)	45.59 ^{**} (0.28)	44.84 ^{**} (0.26)	0.75 ^{**} (0.23)
MPD	9.361 (0.065)	9.322 (0.057)	0.039 (0.063)	45.19 (0.30)	45.00 (0.26)	0.18 (0.29)
HPD	9.174 (0.060)	9.134 (0.059)	0.040 (0.060)	44.32 (0.28)	44.13 (0.27)	0.19 (0.28)

LPD: low-pollution district; MPD: moderate-pollution district; HPD: high-pollution district.

SE: standard error.

#: P<0.10; *: P<0.05; **: P<0.01; ***: P<0.001.

a: VO_{2max} was predicted with multistage fitness test using Leger's equation.