

Ecohydrological disturbances associated with roads

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Ecohydrological Disturbances Associated with Roads: Current Knowledge, Research Needs, and Management Concerns with Reference to the Tropics

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Abstract

Roads are a pervasive form of disturbance with potential to negatively affect ecohydrological processes. Some of the fastest and most rapid growth is occurring in developing countries, particularly in the tropics, where political agendas are often focused on strengthening the economy, improving infrastructure, bolstering national security, achieving self-sufficiency, and increasing citizen well-being, often at the expense of the environment. We review what is known about road impacts on ecohydrological processes, focusing on aquatic systems, both temperate and tropical. We present seven cases that represent the broader trends of road development and impacts in tropical settings. Many of these process dynamics and impacts are not different from those experienced in temperate settings, although the magnitude of impacts in the tropics may be amplified with intense rainfall and lack of best-management practices applied to road construction/maintenance. Impacts of roads in tropical settings may also be unique because of particular organisms or ecosystems effected. We outline a set of best practices to improve road-network management and provide recommendations for adopting an agenda of research and road management in tropical settings. Importantly, we call for incorporation of transdisciplinary approaches to further study of the effects of roads on ecohydrological processes in the tropics. Specific emphasis should also be placed on collaboration with governments and developers that are championing road development to help identify the drivers of road expansion and thresholds of negative impact, as well as methods of sustainable road construction and maintenance.

Keywords: Tropical Ecohydrology, Aquatic Ecology, Erosion and Sedimentation, Road Impacts

Introduction

Roads provide important functions such as facilitating travel, trade, tourism and national defense, supporting resource access and management, and enabling the transport of commodities (Lugo and Gucinski, 2000; Sidle and Ziegler, 2012; Laurance et al., 2014a). Despite these societal benefits, the presence of transportation corridors of all types, ranging from interstate highways to unpaved forest roads and footpaths, have been associated with adverse hydrological and ecological impacts (Trombulak and Frissell, 2000; Seiler, 2001; Andrews et al., 2008; Wemple and Jones, 2003; Takken, et al., 2008; Thomaz and Peretto, 2016). Commonly cited road-related terrestrial ecological disturbances include the interference of species mobility or dispersal, habitat fragmentation, mortality by roadkill, noise effects on wildlife populations, and microclimate changes affecting vegetation composition or animal habitat viability (e.g. Andrews, 1990; Young, 1994; Forman and Alexander, 1998; Spellerberg, 1998; Coffin, 2007). Worldwide, the development of road networks has also been associated with permanent land-cover conversion, including loss of primary forest (Chomitz and Gray, 1996; Cropper and Griffiths, 2001).

The negative effects of roads on aquatic and coastal ecosystems, the focus of this review, are diverse. Direct ecohydrological impacts include the obstruction of the movement of fish or other aquatic organisms at road crossings or the increased mortality resulting from discharge of harmful contaminants into streams and the coastal zone from roads (Trombulak and Frissell, 2000). Indirect impacts include stream habitat destruction or disruption of food webs through changes of natural stream runoff response, increased sediment loads related to accelerated erosion and/or mass wasting on and adjunct to the road prism (Larsen and Parks, 1997; Forman and Alexander, 1998, Forman, et al., 2003, Gucinski, et al., 2001; Coffin, 2007). From an ecological perspective, road-induced changes in sedimentation and runoff patterns may induce taxon-specific responses in macroinvertebrates (Rosenberg and Wiens 1978; Richardson 1985; Doeg and Milledge 1991; Shaw and Richardson 2001; Imbert and Perry 2000; Molinos and Donohue 2008), further amplifying change in benthic community structure (Larsen and Ormerod 2010). Some roads have been shown to influence the timing and magnitude of stream flows, as well as water quality through the delivery of sediment and road-related contaminants (e.g., Wemple et al., 1996; Forman and Alexander, 1998; Ramos Scharrón and LaFevor, 2016). While many studies set in tropical locales in the last few decades have verified a commonality in hydrological and geomorphological impacts of roads between temperate and tropical areas (see below), most of what is known about road-related impacts on aquatic ecosystems systems comes from research in developed countries in temperate areas. Currently, many of the negative consequences on aquatic organisms listed above do not have documented tropical analogies, although they may exist in many cases.

The Tropics lie within the latitudes of the Tropic of Cancer and the Tropic of Capricorn ($\pm 23^\circ$). Tropical regions are typically warm, experience little seasonal change in daily temperatures, experience prevalent rainfall in the moist inner regions near the equator, and increasing seasonality of rainfall with distance from the equator (State of the Tropics, 2014). Nevertheless, topography and local geography contribute to great local climatic variation, making it difficult to identify variables that create drastic road impact differences between the tropical and temperate areas in general. In this paper, we focus on the tropics where periodic or seasonal rainfall often generates high runoff and erosion rates on roads. We also pay close attention to developing areas of the tropics where fast economic growth has resulted in the aggressive “deforesting” of rural lands for agricultural export and

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3 mining, and subsequent migration of rural peoples to urban areas resulting in urban expansion
4 (DeFries et al., 2010; Rudel, 2007; State of the Tropics, 2014; Ewers, 2006). These practices force the
5 opening of new roads which further drives land-use change, and in many cases, degrade the
6 environment (Geist and Lambin, 2002; Goosem, 2007; Laurance et al. 2009; Freitas et al., 2010;
7 DeFries et al. 2010; Barber et al., 2014; Laurance et al., 2014a,b; Fearnside, 2015).

8
9 Agribusiness fuels road building in many developing regions of the tropics, including in the
10 Amazon, where plans for roads continue “as fast as money allows” (Fearnside, 2015). Extractive
11 industries (e.g., timber extraction, oil production, and mining) and remotely situated infrastructure
12 development, particularly the construction of hydroelectric facilities, are some of the key drivers of
13 extensive road system building in the developing world, as demonstrated in the Lower Paute Basin of
14 Ecuador (Figure 1). Other drivers include infrastructure expansion due to growth in tourism and
15 recreation demand in erosion-vulnerable, and previously un-roaded areas, such as coastal zones (e.g.,
16 in Florianópolis, Brazil; Figure 2) and mountain slopes (e.g. Ito, 2011; MacDonald et al., 1997; Brooks et
17 al., 2015; Browning et al., 2016). The opening of international borders (Fox and Vogler, 2005) and the
18 expansion of agricultural frontiers (Fearnside 2001; 2008), common in tropical regions worldwide,
19 further drives road development. The development of new roads has also led to transboundary
20 disputes, as seen in a recent International Court of Justice case along the boundary of Costa Rica and
21 Nicaragua (ICJ, 2015).
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26 In the face of rapid population growth and intense development pressure in tropical regions,
27 we argue that more attention be given to understanding and managing the ecohydrological
28 disturbances caused by roads. In Part 1 of this paper, we summarize important negative hydrological
29 and geomorphological impacts of roads, which have been documented in work done in both tropical
30 and temperate areas. In Part 2, we discuss the implications of road phenomena on aquatic ecology
31 and other ecological systems. Where work on roads is limited, we draw from studies that address the
32 ecological consequences of landscape degradation in general, as the processes are often similar. In
33 both Parts 1 and 2, we focus primarily on unpaved roads constructed to access natural resources in
34 remote settings, although we also reference studies documenting impacts of logging skid trails,
35 footpaths, and improvised paths. We also highlight cases of urban road development in the tropics,
36 underscoring this important dimension of growth driving road development. Where appropriate, we
37 showcase findings from a set of seven case studies that summarize our experiences in tropical locales
38 in South America, SE Asia, the Caribbean, and the Pacific (presented in Figures 1-7). Finally, in Part 3,
39 we update past calls (e.g. Elliot et al., 1997, Luce 2002) for research on road impacts, with a focus on
40 tropical settings, and provide recommendations for new research and management improvements to
41 address road-related ecohydrological impacts, some of which may be applicable to roads worldwide.
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46 **PART 1: HYDROLOGICAL AND GEOMORPHOLOGICAL IMPACTS OF ROADS**

47 **Stream flow alteration**

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49 Urban centers generate large volumes of surface runoff during storm events because of the high
50 density of impermeable surfaces including roads (Walsh et al., 2012). In rural and forested settings,
51 where infiltration rates are otherwise high, unpaved road surfaces also have the propensity to
52 generate erosion-producing overland flow during most rain events (Luce and Cundy, 1994, Ziegler and
53 Giambelluca, 1997; Ziegler et al., 2001a; Ramos Scharrón and MacDonald, 2005, 2007a; Ziegler et al.,
54 2007). In mountainous terrain, road cuts may intercept sub-surface flow, diverting it quickly to the
55 stream (Megahan and Clayton, 1983; Wemple and Jones, 2003; Negishi et al., 2008). Through this
56 propensity to intercept subsurface water and generate overland flow, road networks alter the way
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3 water and sediment move through the landscape to the stream network, and ultimately, the coastal
4 zone (Gucinski, et al., 2001; Coffin, 2007). Consequently, changes in runoff routing effectively enhance
5 hillslope-to-channel connectivity (Bracken and Croke, 2007), in turn increasing storm peak flow
6 generation in some catchments (Harr et al., 1975; Sauer et al., 1982; King and Tennyson, 1984; Jones
7 and Grant, 1996; Thomas and Megahan, 1998).

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9 Work in the past decade in tropical settings has confirmed these impacts of roads on runoff
10 production and routing. At one tropical location, Ramos Scharrón and MacDonald (2007a) showed
11 that a monitored road section on the island of St John in the eastern Caribbean could generate runoff
12 during storms as small as 3-5 mm. Subsequent work verified the sensitivity of catchment response to
13 disturbances occupying as little as 1% of the land surface (Ramos Scharrón and LaFevor, 2016). Work
14 at the Bukit Tarek Experiment Catchments in Malaysia demonstrated the importance of intercepted
15 subsurface flow in augmenting road-generated overland flow (Negishi et al., 2008). Further, roads at
16 Bukit Tarek continued to contribute to surface flow generation long after they were abandoned, if they
17 were cut deeply into the hillslope where they intercepted natural sub-surface flow pathways (Ziegler,
18 et al., 2007). In our case study set in tropical northern Thailand (Figure 3), roads with low
19 permeability in heterogeneous agricultural/forest landscapes were important in converting substantial
20 amounts of overland flow into elevated stream peak flows in the 97-ha Pang Khum Catchment.
21 Computer hydrological simulations showed that compared with an all-forested scenario, roads within a
22 fragmented landscape converted greater amounts of overland flow into higher peak flows (Cuo et al.,
23 2008). Without roads, the patchy land cover pattern buffered the impacts of the scattered overland
24 flow source areas and limited increases in peak flows (Cuo et al., 2008).

30 **Sediment production and delivery to water bodies**

31 In any setting, tropical or temperate, the volume of sediment produced by a native road depends on
32 the erodibility of the road surface, sediment supply, traffic levels, the drainage system in place,
33 maintenance, road geometry, surfacing, soil properties, nearby vegetation cover, and the magnitude
34 and frequency of precipitation events (Horner and Mar, 1983; Anderson and Simons, 1983, Grayson et
35 al., 1993; Ramos Scharrón, 2010). Road-induced sediment production can occur by several processes:
36 (a) removing vegetation along the road prism during road construction, maintenance and grading
37 (Ramos Scharrón and MacDonald 2005; Castillo et al., 1997); (b) mobilizing fine-grained sediments
38 from the compacted roadbed and roadside margin (Anderson and Potts, 1987; Ziegler et al., 2000;
39 Ramos Scharrón and MacDonald, 2005; Ramos Scharrón, 2012; Araujo et al., 2014); (c) initiating
40 gullying at culvert outlets (Wemple et al., 1996; Croke and Mockler, 2001; Takken et. al., 2008); (d)
41 triggering of shallow landsliding events both above and below roads (Swanson and Dyrness, 1975;
42 Beschta, 1978; Montgomery, 1994; MacNamara et al., 2006; Ziegler et al., 2012; Sidle and Ziegler,
43 2012; Sidle et al., 2014), and (e) failing of culverts (and associated sediment mobilization) during
44 extreme rainfall events (Wemple et al., 2001). While some eroded material accumulates on lower
45 slopes, and is subject to subsequent erosion, the remainder is often transported to the stream system
46 during runoff events. High-density logging and unpaved roads in particular produce high sediment
47 yields, especially if gullying and mass wasting occur on adjacent hillslopes (Forman and Mellinger,
48 1998; Grayson et al., 1993, Rice and Lewis, 1991; Swanson and Dyrness, 1975; Fu et al., 2010a;
49 Anderson and MacDonald, 1998).

50 Important advances have been made in understanding the role of roads on sediment
51 production in the tropics. Dunne (1979) highlighted the important role of roads in sediment budgets
52 developed for small catchments in Kenya. Harden (1992) recognized the importance of rural roads and
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3 footpaths on accelerated erosion rates in the Ecuadorian Andes and included this dynamic in models
4 she developed to assess watershed-scale sediment budgets. Anderson and MacDonald (1998) and
5 Ramos Scharrón et al (2007c) estimated via modeling that unpaved roads on St. John Island increased
6 sediment delivery rates by 3–9 fold over natural rates. Earlier studies on St. John had concluded that
7 sediment production rates from unpaved roads were several orders of magnitude higher than surface
8 erosion rates from undisturbed hillslopes, and that unpaved roads were the principle source of the fine
9 sediment delivered to the coastal zone (MacDonald et al., 1997, 2001). In a rural agricultural area of
10 Thailand, Ziegler et al (2004) found that the sediment delivery rate on native roads was more than an
11 order of magnitude higher than that on adjacent fields. Sediment production estimates on coffee
12 farms in Puerto Rico ($11 \text{ Mg ha}^{-1} \text{ y}^{-1}$) were about two-orders of magnitude higher for forests. At the
13 farm-scale, only 2 – 8% of the total sediment was attributable to cultivated hillslopes, whereas
14 unpaved roads accounted for over 90% of the sediment budget, even though they comprise only 15%
15 of the farm surface area (Ramos Scharrón and Thomaz, 2016). The studies at all of these tropical sites
16 indicate that unpaved roads contribute sediment to the stream network at a rate disproportionate to
17 the areas they occupied in their catchments.

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22 A more extreme case of road erosion was found in our featured case study conducted in the
23 Bukit Tarek Experimental Catchment in Peninsular Malaysia, which is a high-density logging site (Figure
24 4). Nearly 80% of the very high soil loss rate (on the order of 275 Mg/ha/year) associated with the road
25 system, which included skid trails, was delivered to the stream in the first 16 months after logging
26 commenced (Sidle et al., 2004). About 60% of the soil loss was generated from erosion of the running
27 surface; disturbed cut and fill material along the road were the sources of the other 40%. As roads and
28 skid trails had no designed drainage systems, runoff discharged directly onto the hillslope were gullies
29 established persistent connections between roads and the stream network. Elsewhere in the tropics
30 Rijdsik et al. (2007) found that landslides occurring at the end of the rainy season in the upper Konto
31 Basin in Indonesia boosted the already elevated erosion rates on unpaved roads. In the 44-ha Baru
32 Catchment on Borneo, Chappell et al (2004) reported how a 10-year, 167-mm storm event generated
33 40% of the yearly total sediment yield in one day by triggering a debris flow and the collapse of fill
34 material. Investigating more than 1600 landslides in Puerto Rico, Larsen and Parks (1997) found 5-8
35 fold increases in mass wasting disturbance inside 170m swaths along road corridors.

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39 As shown by studies cited above, perhaps some of the most widely-learned lessons regarding
40 road impacts have been gleaned by studies conducted in both temperate and tropical regions
41 documenting how roads alter the production and routing of water and sediment. These dynamics
42 have important ecological implications, as demonstrated by studies described below. Understanding
43 the linkages between hydrology and ecology (i.e. ecohydrology) requires integrated, transdisciplinary
44 studies among physical and ecological scientists. We highlight some of these linkages in Part 2 and call
45 upon the ecohydrology community in Part 3 to advance our understanding of these dynamics in
46 tropical settings, where intense development pressures, sensitive and understudied ecological
47 systems, and unexplored ecological and social dynamics warrant more attention.

52 **PART 2: ECOHYDROLOGICAL IMPACTS OF ROADS ON ECOLOGICAL SYSTEMS**

53 **Effects of sediment loading on aquatic systems**

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55 Work conducted in tropical regions on various land disturbances, including road construction,
56 agriculture, shoreline development, and forest loss/degradation, has shown that the downstream
57 delivery of sediment from affected areas alters water chemistry, degrades the quality of benthic
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3 habitats, and disrupts structural functions of freshwater and marine ecosystems (Rogers, 1990; Forsyth
4 et al., 2006; Wolanski, et al., 2009; Golbuu et al., 2011; Latrubesse et al., 2009, Jaramillo et al. 2016).
5 The occurrence and types of benthic invertebrates specific to a river are in part controlled by the grain
6 size of a riverbed, with cobble or pebble substrates supporting both greater diversity and abundance
7 than sand- or silt -dominated substrates (Hynes 1970; Minshall 1984; Vouri and Joensuu 1996; Angradi
8 1999). Fine sediment entering streams increases turbidity and/or suspended solid concentrations
9 (Grayson et al., 1993), disrupting stream ecosystems by inhibiting photosynthesis and changing
10 channel morphology and stability (Beschta, 1978; Brown, 1994, Eaglin and Hubert., 1993, Reid and
11 Dunne, 1984).

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14 Increases in sediment transport rates and turbidity in streams have been shown to decrease
15 feeding efficiency, decouple food web dynamics, and cause physiological stress for fish (Walde, 1986;
16 Shaw and Richardson 2001; Schofield et al., 2004) in studies conducted in temperate zone systems.
17 Increased sedimentation may also mediate food resource quality and quantity for algivorous
18 consumers. Food resource quality of periphyton for macroinvertebrates that feed on them may be
19 reduced by an increasing inorganic content (Graham 1990; Yamada and Nakamura 2002; Suren 2005;
20 Molinos and Donohue 2008), especially when flow is moderate enough to allow particles to settle, or
21 when abrasion of periphyton by coarse sediment occurs (Biggs et al., 1999). Such changes in food
22 quality may affect life history traits of organisms such as ingestion rates (Kent and Stelzer 2008).
23 Altered texture of substratum surfaces may result in changes in retention functioning for organic
24 matter and thus availability of types of food resources for macroinvertebrates (Parker 1989). Changes
25 in sedimentation and runoff patterns may promote drifting behaviour in macroinvertebrate
26 populations (Rosenberg and Wiens 1978; Richardson 1985; Doeg and Milledge 1991; Shaw and
27 Richardson 2001; Imbert and Perry 2000; Molinos and Donohue 2008). Such taxon-specific behavioral
28 responses amplify change in the benthic community structure (Larsen and Ormerod, 2010). Excessive
29 deposition of fine sediment from roads can change the physical nature of the substratum, resulting in
30 ecosystem-wide responses, as found for both freshwater and marine systems in response to a variety
31 of land-use impacts (e.g. Rogers, 1990, Mattahei et al., 2006). For example, burial can reduce the
32 availability of permanent and spawning habitats for fish species seeking cover above or in the benthic
33 interstices within the substratum (Trombulak and Frissell, 2000).

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36 Sedimentation of fine-grained material originating from roads can also affect the dynamics of
37 the hyporheos, a critical zone for various transformations of water chemistry and stream metabolism
38 (Williams and Hynes 1974; Strommer and Smock 1989; Valett et al. 1990; Krause et al., 2011).
39 Transformations of water chemistry are dependent on physicochemical environments, and depths and
40 residence time of hyporheic-zone exchange (Findlay, 1995; Jones and Holmes 1996; Boulton et al.,
41 1998). Deposition of sediment substantially reduces the surface and subsurface exchange of water and
42 shortens residence time of water, thus leading to lower dissolved oxygen levels, changes in nutrient
43 retention, and alterations of water chemistry (Whitman and Clark 1982; Strommer and Smock 1989).
44 Along with surface-subsurface exchange of water, particle size composition determines community
45 composition of hyporheic invertebrates (Richards and Bacon, 1994; Olsen and Townsend 2003;
46 Packman and Mackay 2003). Reduced interstitial flow and dissolved oxygen concentration resulting
47 from the filling of hyporheos has been negatively linked to spawning bed quality, in particular those of
48 salmonids (Ringler and Hall 1975; Waters 1995).

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51 In some tropical environments, the far-reaching effects of sedimentation include impacts on
52 sensitive coastal ecosystems such as coral reefs (e.g. White, 1987; Rogers, 1990; Richardson 1985). For
53 example, Short et al (2011) recently found that low-level declines in seagrass meadows at Babelthraup,
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3 Palau, were related to increased sediment loading from road construction. Sediment entering the
4 coastal zone has the duality of bolstering the food supply within the coastal zone, while also burying,
5 and thus suffocating, sessile organisms attached to the substrate (Bégin et al, 2014). It may also
6 threaten other organisms by reductions in shortwave radiation needed for synthesis. Burial of coral
7 reefs can exacerbate coral reef degradation and reduce species abundance and diversity in this fragile
8 ecosystem (Friedlander and Parrish, 1998). In one of our case studies, the Johnny Horn Trail road on
9 St. John Island (Virgin Islands, USA) was implicated as the likely source for the enhanced sedimentation
10 that negatively affected sensitive coral and seagrass ecosystems in Coral Harbor (Figure 5).
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13 14 **Degradation of stream water quality**

15 In addition to fine sediment, various other pollutants from road runoff can affect stream ecosystems
16 negatively (Brown 1994; Gilson et al., 1994; Lamont and Blyth, 1995; Yousef et al., 1983; Yousef et al.,
17 1985;). Depending on the location, rural versus urban, as well as the land use (e.g., agriculture,
18 mining), road runoff may include a range of pollutants such as fertilizers, pesticides, herbicides,
19 solutes, heavy metals, plastics, polycyclic aromatic hydrocarbons, mineral oil hydrocarbons,
20 pharmaceutical contaminants, and soluble salts (Göbel et al. 2007; Froehner et al., 2012; Hussain et al.,
21 2015; Wang et al, 2017). Roads may even contribute to thermal pollution if runoff from hot concrete
22 or asphalt surfaces elevates temperatures in small streams to the point of affecting dissolved oxygen
23 concentrations or harming aquatic organisms directly (Herb et al., 2008).
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27 Many studies investigating road runoff in temperate areas have focused on deicing salts and
28 heavy metals. The primary deicing agent, sodium chloride (NaCl), is toxic to many species of plants, fish
29 and other aquatic organisms (Amrhein et al., 1992, Brown, 1994). Calcium chloride (CaCl₂), commonly
30 used to decrease road dust in the tropics, may also inhibit amphibian movement (DeMaynadier and
31 Hunter, 1995). Heavy metals or other toxic substances, which are more frequently associated with
32 runoff on urban roads than rural/forest roads, may contaminate sediment, thereby reducing
33 substratum suitable for macroinvertebrate colonization (Perdikaki and Mason 1999; Forrow and
34 Maltby 2000). Heavy metals are relatively immobile and heterogeneously distributed along roadside
35 areas, including drainage ditches and curb-side soils (Black et al., 1985; Hewitt and Rashed, 1991; Wust
36 et al., 1994). Road runoff during storms is the primary mechanism moving heavy metals into stream
37 systems, especially lead, zinc, copper, chromium and cadmium (Brown, 1994; Gilson et al., 1994; Kerri
38 et al., 1985; Yousef et al., 1985). Fish mortality in streams has been related to high concentrations of
39 various metals, with negative effects on populations recorded several kilometers downstream (e.g.
40 Morgan et al., 1983). Furthermore, both high traffic volume and high metal concentrations in runoff
41 are correlated with mortality of fish and other aquatic organisms (Horner and Mar, 1983).
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45 The linkage between negative ecosystem effects and heavy metal pollutants entering streams
46 from roads in the tropics is demonstrated in two of our case studies: the coastal lagoons of
47 Florianópolis, Brazil (Figure 2) and the urban Manoa Stream in Honolulu Hawaii (Figure 6). In another
48 tropical study conducted in Singapore, concentrations of Cu, Pb and Zn exceeded aquatic sediment
49 probable-effect concentration levels, suggesting they could generate a toxic response in bottom-
50 dwelling aquatic organisms (Yuen et al., 2012). Street sweeping was effective in removal of large
51 organic debris and inorganic road deposited sediments, but it was ineffective in removing the
52 geochemically important fractions <125 µm. Further, metal pollutants entering urban streams from
53 high-density road networks is nearly unpreventable during intense and frequent tropical storms during
54 the rainy season (see Hawaii case study, Figure 6). Difficulties in sweeping efficiency in congested
55 urban environments exacerbate this problem (cf. Yuen et al., 2012). Less frequently studied is heavy
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3 metal loading in streams in rural areas. In one tropical study, Ling et al., (2012) attributed lead
4 increases in the Serin River in Malaysia to contributions from vehicular sources associated with
5 agriculture.
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7 The chemical effects of road runoff on surface-water ecosystems may be confined primarily to
8 small streams owing predominantly to dilution in large rivers (Fennessey, 1989). Furthermore,
9 transformations of water chemistry are dependent on the physicochemical environment and the
10 residence time in the hyporheic-zone (Findlay 1995, Jones and Holmes, 1996, Boulton et al., 1998).
11 Thus, there are inherent spatio-temporal scale issues at play when considering the impacts of road
12 runoff pollution entering streams. There are also indirect ecohydrological impacts associated with
13 road pollution. For example, the various agents applied on roads may also increase the mobility of
14 chemical elements in soil, including some heavy metals (Amrhein, et al., 1992), potentially allowing
15 them to move offsite via subsurface flow pathways.
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18 19 **Stream Obstruction and Landscape Connectivity**

20 The site and stream-reach scale impacts of roads highlighted above have cumulative and broad scale
21 ecological implications. The lens of connectivity (Bracken et al., 2013) and its application to road
22 networks (Wemple et al., 1996; Simon and Croke, 2001) provides useful context for these broader
23 scale impacts. Roads alter connectivity through changes in hillslope-to-channel delivery mechanisms
24 as described above and through creation of altered aquatic habitats and migration pathways. The
25 construction of raised road surfaces and adjacent drainage ditches can create new and connected
26 habitats for aquatic species in areas where they formerly did not exist. For example, the construction
27 of a road through pristine tropical lowland rain forest in the Ulu Temburong National Park (Brunei
28 Darussalam) facilitated the in-migration of eight new frog species (Konopik et al., 2013). O'Neill et al.
29 (2016) found that communities of crustaceans in artificial waterbodies, including roadside ditches,
30 were indistinguishable from those in naturally formed wetlands. The authors attributed this finding to
31 the increase in road density, which facilitated population increases within species that thrive in
32 environments associated with roads. Thus, roads potentially create habitats and migration corridors
33 for undesirable species. In contrast, Cairo and Zalba (2007) found that roads had a significant impact
34 on red-bellied toads (*Melanophryniscus* sp.) by augmenting mortality, hindering the mobility of the
35 species and increasing habitat isolation.
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38 Roads can also impact aquatic connectivity by blocking pathways between water bodies,
39 reducing the mobility of many types of aquatic species, including fish and macroinvertebrates (Gibson
40 et al., 2005; Ward et al., 2008; Maitland et al., 2016). Maitland et al (2016) recently showed that
41 stream crossings influence abiotic habitat characteristics, restrict biotic connectivity, and impact fish
42 community structure at whole-stream and within-stream scales (see also Perkin and Gido 2012). Road
43 crossings with culverts may also block the upstream passage of adult aquatic insects, thereby reducing
44 larval density upstream of roads (Blakely et al., 2006). Temperate diadromous species, such as
45 salmonid fish and atyid shrimps, which migrate between upland river systems and the sea, are
46 vulnerable to obstructions (Brown and Hartman 1988; Resh 2005). In tropical settings, Cooney and
47 Kwak (2013) found that crossings on small roads occasionally hindered tropical, freshwater fish
48 migration for sites studied in Puerto Rico. However, Hein et al. (2011), also working in Puerto Rico, did
49 not find road crossing and culverts to be dispersal barriers for fish or shrimp species they studied.
50 Together, these studies in tropical settings raise unanswered questions about how and where roads
51 impact aquatic connectivity.
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3 Recent work has also highlighted the role of roads in altering river-floodplain connectivity. The
4 continental scale assessment for the US performed by Blanton and Marcus (2009) showed that roads
5 and railroads are ubiquitous features along the floodplains of large river systems, where they limit
6 lateral migration of alluvial rivers, thereby altering flood pulse processes that create and maintain
7 ecosystem function in river landscapes. In work conducted in the Pacific Northwest USA, these
8 authors found that large river reaches adjacent to transportation infrastructure had degraded riparian
9 forest cover, lower channel complexity in the form of channel bars and islands, and less in-stream and
10 riparian habitat refugia for aquatic species (Blanton and Marcus, 2013).

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13 The construction of road embankments may also alter connectivity of coastal ecosystems,
14 including mangrove forests (Jimenez et al., 1985), by permanently altering the flow of water and
15 sediment (Röderstein et al., 2014). Modifications of hydrological regimes due to human actions appear
16 to be the main reason for mangrove mortality in the tropics (Barreto, 2008; Sakho et al., 2011).
17 Blockage and reductions of tidal flushing and freshwater input from by road embankments have been
18 shown to change the structure, vigor, and mortality patterns of mangrove stands by altering salinity,
19 nutrients, redox potentials, pH, sediment and organic matter content (Cardona and Botero, 1998;
20 Rivera-Monroy et al., 2011). Events of massive mangrove mortality caused changes in any of these
21 factors due to road construction have been documented for various countries such as Colombia
22 (Botero and Salzwedel, 1999; Restrepo et al., 2007), the Federated States of Micronesia (Allen et al.,
23 2001), Saudi Arabia (Mandura and Khafaji, 1993), Venezuela (Barreto, 2008) and Mexico (Batllori-
24 Sampedro et al., 1999). In the Colombian example where hypersalinity has been the result of
25 mangrove mortality, road construction contributed to more than 50% loss in mangrove area from over
26 50,000 ha in 1956 to 22,000 ha in 1993 in the Ciénaga Grande de Santa Marta coastal estuarine system
27 in northern Colombia, making it possibly the largest mangrove mortality on record (Figure 7).

32 33 **Other ecological impacts**

34 The spread of exotic vegetation is a commonly cited road impact in a diverse range of tropical
35 locations such as in the cloud forests of Puerto Rico, the rainforests of North Queensland Australia, the
36 dry forests of southern India; and the volcanic landscapes of Hawaii (Olander et al., 1998; Prasad,
37 2009; Jakobs et al., 2010; Goosem, 2012). Another potential indirect effect of roads and trafficking is
38 the spread of forest diseases. For example, the transportation of fungal spores in mud carried by
39 vehicles has been implicated in the spread of *Phytophthora lateralis* (Port Orford root disease) and *P.*
40 *cinnamomi* (a mold that causes root rot or dieback) in forests in the Western US and southern
41 Australia (Marks et al., 1975; Pickering and Hill, 2007; Jules et al., 2015); however, see Peterson et al.,
42 2014 for regarding *P. ramorum*. Indirect consequences of these types of diseases are changes in
43 hydrological response or stream channel morphology, depending on the extent of the disease within
44 affected forests (Weste, 1974).

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47 A handful of studies conducted in recent years have demonstrated the capacity by which roads
48 indirectly affect the ecology of human diseases in tropical areas (e.g. Patz et al., 2000; Norris, 2004).
49 The construction of roads in previously inaccessible forested areas can lead to erosion, deposition and
50 the ultimate formation of stagnant ponds by blocking the flow of streams during the rainy season (Patz
51 et al., 2000). One study showed that puddles forming on the road surface were abundant with
52 *Lefionella pneumophila*, the bacteria that is the major cause of community-acquired pneumonia.
53 Further, larvae of *Anopheles gambiae* (the savanna form), an important vector for malaria
54 transmission in Burkina Faso, were found to be prevalent in small, rain-dependent, ephemeral
55 habitats, such as puddles and road ruts (Pombi 2004).
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3 In Ecuador, Eisenberg et al. (2006) found that the construction of roads affected the
4 epidemiology of diarrheal illnesses. In particular, villages closer to a newly constructed road, had
5 higher rates of infection. Although the exact mechanisms causing the increased rates were not
6 articulated, they could be related to the role of roads channeling contaminated surface water into
7 drinking water resources (Eisenberg et al., 2006). Elsewhere, the construction of roads in the Brazilian
8 Amazon was shown to allow Anopheline mosquitoes to invade and colonize previously unroaded and
9 inaccessible areas (Patz et al., 2005). Forest-dwelling *Anopheles* species either adapted to newly-
10 changed environmental conditions or disappeared from the area, offering other Anopheline
11 mosquitoes a new ecological niche (Pova et al. 2001; Patz et al., 2005).

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14 In Asia, the construction of roads, dams and irrigation systems to support agriculture
15 intensification is believed to increase the connectivity of habitats that support the complex ecological
16 cycle of the *Opisthorchis viverrini* (Ziegler et al., 2013; Ziegler et al., 2016; Sithithaworn et al., 2012).
17 This water-borne trematode parasite is a major cause of cholangiocarcinoma in the Lower Mekong
18 River Region of southeast Asia (Sithithaworn et al., 2012). Fish ponds, created from soil excavation pits
19 made during road construction, were stocked with fish infected with the *O. viverrini* parasite. Owing
20 to poor sanitation conditions that promoted the return of human feces contaminated with *O. viverrini*
21 eggs to the ponds, these man-made water features associated with road building became new aquatic
22 wetlands where the entire life cycle of the parasite could be completed (Sithithaworn et al., 2012).

23 24 25 26 27 **Synthesis of impacts**

28 Although several authors have highlighted tropical-versus-temperate differences in stream ecology,
29 climate, and development trajectories (Boulton et al., 2008; Wohl et al., 2012; Gallup et al., 1999;
30 Sachs 2001; Easterly and Levine 2003), the results presented in the prior sections suggest that many of
31 the negative influences of roads on hydrology, geomorphology and ecology are largely driven by
32 similar processes or phenomena: the propensity of roads to generate runoff; high sediment production
33 rates associated with roads; high degree of connectivity of roads to stream systems; concentration of
34 pollutants on road surfaces; physical blocking of streams and their floodplains by roads; and sensitivity
35 of aquatic organisms to road-generated sediments and pollutants. Major differences among
36 geographic settings tend to be related largely to the sensitivities of specific ecosystems or organisms
37 (e.g., coral in the tropics or salmonoid fish in temperate zones), types of disease present in an area,
38 nature of the precipitation regime (snow melt versus intense tropical storms), and the management
39 attitudes in different locales. The review also demonstrates that much of our current understanding of
40 the impacts of roads on aquatic ecology has been drawn from discipline-specific research (e.g.,
41 hydrology, geomorphology, ecology), rather than transdisciplinary approaches that render holistic
42 assessments. In the next section, we build on the insights of the review to identify means of improving
43 road network construction and management and to identify research needs for the tropics. We argue
44 that future pressures, including human population growth, land use intensification, and climate
45 change, will require continued attention to and new research on road impacts in tropical settings. In
46 particular, we encourage new transdisciplinary approaches, in the spirit of ecohydrology, that link
47 methods and insights from the fields of hydrology, geomorphology and ecology and engage with the
48 social dynamics driving and responding to road development pressures.

49 50 51 52 53 54 **Part 3 RECOMMENDATIONS AND RESEARCH NEEDS**

55 **Adopting a Tropical Agenda**

56 The realm of known road-related ecohydrological impacts, reviewed briefly in Parts 1 and 2, has
57 motivated new calls to improve road building and management globally (Lugo and Gucinski, 2000;
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3 Laurance et al., 2014b). However, implementing sustainable or eco-friendly strategies in specific
4 settings requires understanding the context and pressures driving road management and expansion, as
5 well as the potential ecohydrological impacts, in particular areas. The case studies we present in
6 Figures 1-7 illustrate a wide range of road impacts on tropical ecosystems, which can be summarized
7 as the following: (a) construction of road networks in steep terrain to support infrastructure
8 development (Lower Paute Basin, Ecuador, Figure 1) and resource extraction (Bukit Tarek, Malaysia,
9 Figure 4) promotes landsliding and very high rates of surface erosion, resulting in high rates of
10 sediment transport to streams; (b) failure to perform proper road building and maintenance leads to
11 enhanced overland flow production and peak flows, as well as substantial road surface erosion (Pang
12 Khum, Thailand, Figure 3); (c) roads built near the coastal zone often contribute to sediment loading to
13 coastal estuaries containing coral and seagrass ecosystems that are sensitive to high levels of turbidity
14 and burial by sediment (St. John, US Virgin Islands, Figure 5); (d) high-density road networks in urban
15 systems are source areas of potentially toxic metals and other pollutants entering the stream system
16 (Florianópolis, Brazil and Honolulu, Hawaii, USA; Figures 2 and 6), and (e) the disruption of hydrologic
17 connectivity can disrupt ecosystem function through alteration of salinity, nutrient, and sediment
18 inputs (Ciénaga Grande de Santa Marta, Colombia, Figure 7). These impacts, while often associated
19 with roads in temperate areas of the world, may at times differ in the tropics because of other factors,
20 such as political and economic setting (e.g., in Ecuador, Brazil and Thailand), infrastructure and
21 hydroclimate (e.g., Colombia and St. John), degree of disturbance (e.g., high-density logging roads
22 Malaysia), specific types of habitats affected (e.g., coastal systems in St. John and Colombia versus
23 mountain settings in Ecuador, Malaysia and Thailand), and type of road surface, traffic and
24 maintenance level (e.g., rural roads vs. urban roads), as pointed out by Robinson and Thagesen (2004).
25 This understudied situation calls for the development of a “tropical agenda” that recognizes these
26 differences as a fundamental starting point for the implementation of sound management strategies,
27 as well as new research if needed to guide policy.

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34 As the human population grows, additional infrastructure will be needed to support basic
35 needs such as water, power, food, and healthcare. Meeting these needs generally requires the
36 expansion of transportation networks. Of particular importance are the construction of roads to
37 support/access remote facilities such as mining, logging, or hydroelectric operations, as demonstrated
38 in our case study in Ecuador (Figure 1). During road expansion, dirt roads are often the first built, and
39 are therefore prevalent in the typical emerging economies found in the tropics, especially in rural and
40 mountainous areas (Sidle and Ziegler, 2012), as outlined by the research conducted in the northern
41 Thailand case study (Figure 3). Dirt roads in regions of steep terrain degrade rapidly, are rarely
42 repaired properly, and often go without maintenance or the application of known best practices to
43 mitigate erosion (Sidle and Ziegler, 2012). In addition, many are built in environments where their
44 physical presence degrades the environment producing deleterious effects on downstream aquatic
45 systems, such as coral reefs and seagrass beds at the St John study site (Figure 5) and mangroves at the
46 Colombian case study location (Figure 7). In Ecuador, uncontrolled river rock and sand mining for
47 house and road construction is now deeply modifying river habitats, water quality and linked
48 ecological and hydrological processes (Celi pers. com. Aug. 2016). Laurance et al. (2014b) claim that
49 globally, road proliferation has been chaotic or poorly planned; and the rate of expansion has often
50 overwhelmed the capacity of environmental planners and managers.

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55 Compounding these issues is that some of the fastest and most rapid development is occurring
56 in developing countries where political agendas are often focused on strengthening the economy,
57 improving infrastructure, bolstering national security, achieving self-sufficiency, and increasing citizen
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3 well-being, often at the expense of the environment, particularly with respect to road building (Figures
4 1, 2, 3 and 5). Rigg (2016) argues that in time, development typically becomes increasingly
5 environmentally friendly for a range of reasons, but this transition may not occur in all cases. For
6 example, the importance of developing roads to support economic growth in Singapore, one of the
7 most developed countries in the tropics, often supersedes environmental conservation (e.g. the
8 redevelopment of Bukit Brown; Han, 2013). Activism and research on human mobility, road and
9 associated development impacts on ecosystems, and designing sustainable cities has gained some
10 traction in urban locales of the tropics, as illustrated in our case in Florianópolis, Brazil (Figure 2),
11 though economic resources and political constraints limit progress. We believe that these social
12 dynamics associated with road development warrant much more attention in tropical settings than
13 previously given, since these social dynamics will ultimately impact ecohydrological processes.

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15 In most cities, the need to channelize streams to reduce flood risks, which arise from
16 aggressive urbanization, outweighs preserving stream ecology, as is the case in Hong Kong and
17 Bangkok (D. Dudgeon, pers. com, Aug 2016;). This issue is amplified in cities that are naturally low-lying
18 or have experienced subsidence from groundwater pumping, such as the case in several Southeast
19 Asian cities (Phien-wej et al., 2006; Feng et al. 2008). In many developing countries, insufficient
20 attention has been given to mitigating ecohydrological impacts of roads, both rural and urban (cf. van
21 der Ree et al., 2015). With all these issues in mind, we argue that reducing the ecohydrological
22 impacts of roads in these areas requires new research that will lead to sound planning, design, and
23 management strategies, as well as a better understanding the processes and phenomena that are
24 driving substantive road impacts in developing areas of the tropics, and other areas where limited
25 work has been done to date.

31 **Improving road network management**

32 Due to the extreme environments and conditions prevalent in tropical areas and the heightened
33 sensitivity of some tropical ecosystems, including coral reefs, seagrass, mangroves, and primary forest,
34 avoiding fragile and undisturbed areas is the best strategy for preventing road impacts (van der Ree et
35 al., 2015). However, given the current trends in road network expansion in the tropics and projected
36 increases in human population, road building and expansion will almost certainly continue to occur,
37 even in sensitive areas (State of the Tropics, 2014; Laurance et al., 2014b). Several authors give sound
38 advice with respect to planning, design, construction, and maintenance of roads (e.g., Wong et al.,
39 2000; Robinson and Thagesen, 2004; Gunderson et al., 2005; Goosem, 2007; Sessions, 2007).
40 Common themes from the literature for both road construction and remediation of eroding road
41 segments include:
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- 45 a. Minimize building roads on steep slopes and in hillslope hollows; attempt, where possible to get
46 roads onto ridgetop positions in the steepest terrain where impacts to hillslope processes can be
47 minimized, as demonstrated in the Pacific Northwest of the USA (Swanston and Swanson, 1976);
- 48 b. Design roads using accepted standards to employ outsloped roads where possible, minimize water
49 accumulation on the road surface and channelization in in-board ditches, and reduce erosion both
50 on the road surface and adjacent road prism (Sessions, 2007);
- 51 c. Employ flow dissipation and erosion control devices on steep roads to prevent severe erosion of
52 road surfaces and gully formation below culvert outlets and other hillslope drainage locations
53 (Wong et al., 2000);
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3 d. Pay particular attention to the design and placement of bridges and other types of stream
4 crossings to minimize disturbance during construction, limit the discharge of sediment and other
5 pollutants during runoff events, and avoid the obstruction of the movement of aquatic species
6 (Robinson and Thagensen, 2004; Sessions, 2007);
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8 e. Minimize riparian vegetation fragmentation and consider natural channel migration processes
9 along higher order alluvial rivers, taking care to maintain intact riparian zones and keep road
10 infrastructure outside the flood zone, where costly damages can occur, and where flood-pulse
11 events maintain important riverine processes (Goosem, 2007; Blanton and Marcus, 2009).
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15 Additional insight can be gleaned from the reviewed case studies conducted within tropical
16 settings (Figures 1-7). For example, the design and planning of roads should be done with
17 consideration for the natural geographical setting, rainfall intensities, and ecology of the area to
18 minimize impacts during and after construction. Management strategies in urban versus rural settings
19 might differ in focus because of unique stressors and different histories of disturbance. Roads that
20 access coastal zones are especially problematic owing to effects of the harsh environment on the road
21 (e.g., storm surges, high-salinity water), the sensitivity of coastal ecosystems to inputs of materials that
22 runoff from roads (e.g., Florianópolis, Brazil and St. John Island, USA, Figures 2 and 5), and the
23 potential for road embankments to block natural flows (e.g., mangrove ecosystem in Colombia, Figure
24 7). Countries that do not regulate road building and maintenance may consider implementing
25 programs to prevent *ad hoc* road construction that will impede larger environmental protection
26 objectives (e.g. Thailand and Colombia, Figures 3 and 7). Further, construction and maintenance
27 should be conducted in dry seasons, rather than the wet seasons in monsoon climates when storms
28 are frequent and occasionally large (Figure 3).
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32 Special care should be taken in remote areas and mountain environments where erosion and
33 mass wasting processes can be severe (e.g., in remote areas of Ecuador, Thailand and Malaysia
34 profiled in our case studies). Attempts should be made to reduce the hydrological connectivity
35 between the road and stream networks, particularly in areas with high-density road systems, for
36 example in plantations and logging areas (e.g., Bukit Tarek, Malaysia, Figure 4). Finally, new evidence
37 is pointing for the need to limit the formation of zones of stagnant pools of water (roadside ditches,
38 fill-dirt excavation ponds) that may create unnatural habitats for unwanted species, including disease
39 pathogens. Again, the building of roads and other infrastructure features (dams, irrigation canals) have
40 little-known effects on the dispersal and ecology of many-waterborne parasites. These common
41 themes and insights are recommendations, based largely on current research, as to how the negative
42 effects of roads on ecohydrological processes can be reduced. As we have mentioned throughout, the
43 full impacts of roads are not completely understood and more research is necessary to adequately
44 manage road-related construction and maintenance activities.
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50 **Research needs and opportunities**

51 Wheeler et al. (2005) noted that although highway construction was pervasive and had severe
52 biological consequences, there were few investigations regarding the impacts of such construction on
53 streams. Subsequently, little was known about the occurrence, loading rates, and biotic responses to
54 specific contaminants in road runoff. They called for an increased understanding of how highway
55 crossings, especially culverts, affect fish populations via constraints on movement and how highway
56 networks alter natural regimes including streamflow and temperature. A decade later, this dearth of
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3 knowledge is still arguably the case for most types of road networks, particularly those in the tropics.
4 Notable work conducted in the tropics to date includes studies examining the impacts of roads on
5 coastal ecosystems (e.g., Ramos Scharrón and MacDonald, 2007a, 2007b; Bégin et al., 2014; Ramos
6 Scharrón et al., 2015) and the general impacts of roads used in timber extraction operations (cf.
7 Bruijnzeel, 1990; Douglas, 1999; Bonell and Bruijnzeel, 2005). In one example, Dias et al., (2010)
8 demonstrated the potential of reduced-impact logging (including the minimizing of logging roads) as
9 an alternative to clearcutting in the Amazon.
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11 While this growing body of work has provided insights for managing roads, additional research
12 on the impacts of roads on specific ecosystems in the tropics is still needed. There is still a need to
13 identify and prioritize the variables for quantifying road effects on aquatic ecosystems in diverse
14 settings. For example, much work addresses chemical loading from roads in urban streams (Drapper
15 et al., 2000), but fewer studies attempt to quantify the negative effects of chemical pollutant inputs
16 from roads in agricultural areas (e.g., Donald, 1998; Withers et al., 2009; Ling et al., 2012). In many
17 areas in the tropics, agricultural intensification is being achieved through increased applications of
18 fertilizers and pesticides (Smithson et al., 2004; Keys and McConnell, 2005; Ziegler et al., 2009;
19 Sangchan et al., 2012; Laurance et al., 2014a). Additional monitoring studies are needed to identify
20 threshold concentrations of harmful materials that trigger negative ecosystem-wide responses on
21 habitat viability, ecological interaction, mortality, and productivity (e.g., Kaller and Hartman 2004).
22 These responses may be species- or family-specific; and some organisms may emerge as important
23 indicator species for identifying road-related impacts on aquatic environments (for example, as salmon
24 and trout are in some temperate areas). Alternatively, mesocosm experiments could identify
25 thresholds of toxicity, for example, those associated with heavy metals or other potentially harmful
26 materials that are sorbed to road dust (cf. Clements, 1991).
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28 In the spirit of truly transdisciplinary work promoted in ecohydrology, we also see a need to
29 link sediment loading research with work addressing metal sorbing to investigate its role as a factor in
30 ecosystem degradation (Solomon et al., 2009). Research should also examine the effects of nutrient
31 cycling on solute retention and processing rates (Grimm et al, 2003; Kadlec and Reddy 2001). Given the
32 sensitivity of coastal ecosystems, one or all of these impacts may degrade a particular community.
33 Overall, more work is needed to link road-induced runoff changes with negative responses in sensitive
34 coastal communities, such as coral reefs, mangrove forests, and seagrass beds (MacDonald et al.,
35 1997; Short et al., 2011; Bégin et al, 2014). Study is also needed on the effectiveness and feasibility of
36 alternative management or remediation strategies—for example, natural riparian buffers, artificial
37 wetlands, storm water retention structures, road drainage improvements, and alternative surfacing to
38 reduce sediment detachment (Ramos Scharrón, 2012; Ziegler and Sutherland, 2006). Road reclamation
39 or decommissioning (e.g., Luce, 1997; Tarvainen and Tolvanen, 2016), has rarely been investigated as a
40 mean of reducing long-lasting road impacts in the tropics. In one study conducted at the Bukit Tarek
41 research site in Malaysia, abandoned roads continued to generate road runoff because of interception
42 of subsurface flow, as infiltrability of the road had not been restored (Ziegler et al., 2007).
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44 Apart from ecohydrological perspectives, trade-offs between livelihoods and environmental
45 concerns related to rural development and road construction should be considered further (Bonell and
46 Bruijnzeel, 2005). More evidence is needed to convince advocates of new roads to implement design
47 standards and policies that serve both local interests and international environmental expectations (cf.
48 Fairhead and Leach, 1995). Compromise solutions may be needed to reconcile development with
49 conservation needs (Caro et al., 2014). Road impacts are also typically affected by social settings,
50 demographics, and levels of development at different scales ranging from countries (e.g. a national
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3 road network) to communities (e.g. urban road network), to singular tracks of land (e.g., temporary
4 access road). Transdisciplinary approaches, involving ecologists, engineers, physical scientists, social
5 scientists, economists, and government officials, may be best suited for investigating these issues
6 which have great complexity (e.g. Bring et al., 2015; Stærdahl et al, 2004; Ziegler et al., 2016).

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8 Databases for road impact investigations can be developed by linking multiple disciplinary
9 datasets. Although remote sensing products can provide spatial land change information, continuous
10 monitoring stations can provide high-resolution ecohydrological data that show temporal changes
11 across important time scales: diurnal, synoptic (in response to a storm event), seasonal, or multi-year.
12 While advances have been made in modeling road runoff and erosion (see review by Fu et al., 2010b),
13 many of the popular models used to assess catchment impacts of development are unable to include
14 roads explicitly. New work should also target the space and times scales at which various stressors are
15 important. For example, road erosion and sediment/pollutant loading in many areas of the tropics
16 have distinct seasonality (Ziegler et al., 2001b). In addition, sediment transport to streams is often
17 high immediately following construction, but reduce over time (Megahan, 1974). However,
18 degradation of ecological systems and species loss may be lagged (Findlay and Bourdages, 2000).
19 Thus, there is a need to better understand how various ecohydrological impacts may operate on
20 different time and space scales.
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24 With respect to governance, Gunderson et al. (2005) stress that at national and regional scales,
25 environmental issues associated with road impacts are often treated as permitting issues to protect
26 particular types of lands (e.g. wetland) or threatened species, rather than dimensions of an overall
27 project design to address myriad negative consequences. They argue that governments should (a)
28 provide policy, guidance, and funding for transportation design and decision making that take
29 ecological processes into account; (b) expand the knowledge base for assessing potential effects of
30 transportation activities through nationally funded research projects; and (c) encourage cross-
31 disciplinary dialogue between engineers, ecologists, and other environmental professionals to raise
32 mutual awareness of each other's expertise, needs, and challenges (Gunderson et al, 2005).
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35 As road network expansion is inevitable, at least for the time being, we as scientists have a
36 responsibility to study systems that we may not have had access to before and to look for compromise
37 solutions to limit the eco-hydrological impacts (Caro et al., 2014). We see the construction of roads—
38 and other major infrastructure projects—as opportunities for builders to work with scientists to gain
39 knowledge about particular ecosystems. For example, if trees are to be removed permanently, the
40 carbon biomass can be measured before construction begins by scientists to augment databases
41 needed for climate change investigations (Yuen et al., 2013). Pre-construction biodiversity surveys
42 should also be encouraged to facilitate long-term impact monitoring, which will ultimately lead to
43 better road building/managing strategies both *in situ* and elsewhere. This “type” of research differs
44 from environmental impact assessments, as it would be implemented after the road project is
45 approved, thereby reducing the conflict between conservation advocates and those championing the
46 road.
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49 Finally, we need to consider how climate change will impact temperature and precipitation
50 regimes in many tropical areas, with potentially important implications for aquatic ecosystems that are
51 already impacted by roads (Trenberth, 2011; IPCC 2013). Many climate change projections have
52 demonstrated that tropical temperatures are increasing, precipitation patterns are changing, “norms”
53 are strengthening with dry areas getting drier and wet areas getting wetter (Greve et al., 2014), and
54 storms are intensifying (Hulme and Viner, 1998; Johns et al., 2003; Boulanger et al., 2007; Coelho and
55 Goddard, 2009). Despite considerable uncertainties in climate change projections, it is likely that a
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3 warmer planet will intensify the hydrological cycle (*sensu* Huntington, 2006), potentially increasing the
4 magnitude of extreme events, such as large storms, floods and cyclones in some locations (Ziegler et
5 al., 2003; Trenberth, 2011; IPCC, 2013). In areas where tropical rainfall events become more intense,
6 accelerated erosion rates could result in stream ecosystem degradation from increased sediment and
7 nutrient loading (Dore, 2005; O’Gorman, 2015; Costa et al., 2009; Casimiro et al., 2011; Guimberteau,
8 et al., 2013; Jaramillo et al., 2016). Increased rainfall may also affect flow regimes, with changes likely
9 amplified in catchments with dense road systems. These changes are expected to alter stream hydro-
10 geomorphological processes that affect aquatic systems, leading to potential ecological disturbances,
11 including freshwater fish extinction in the biodiversity-rich tropics (Eliot et al., 1999; Xenopoulos et al.,
12 2005; Food and Agriculture Organization of the United Nations, 2006). Moreover, there are
13 exacerbated secondary effects associated with changes in drainage network structure and connectivity
14 (De Wit and Stankiewicz, 2006).
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18 Biodiversity datasets that are suitable for assessing how climate and road changes contribute
19 to species extinctions still need to be developed. Additionally, the potential temperature and
20 precipitation changes may increase the vulnerability of plants to diseases (Chakraborty et al., 2000) or
21 lead to unprecedented biome shifts (Pounds et al., 1999, Hilbert et al., 2001; Loarie et al., 2009),
22 potentially altering the way roads interact with the landscape where they have been built. Integrated
23 climate change impacts related to peak discharges, erosive flows and hydrological extreme events are
24 very likely to add pressure on the stream ecosystem functioning and biodiversity, which are often
25 already adversely affected by roads and urbanization (e.g. Meyer et al., 1999, Palmer et al., 2008 and
26 Nelson et al., 2009). Therefore, new monitoring data and studies, drawing in an integrated fashion on
27 the fields of hydrology, geomorphology and ecology, are worthwhile for understanding the
28 mechanisms of how changing climate patterns, land-use conversion and road building will collectively
29 affect tropical ecosystems.
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34 **Conclusion**

35 Despite decades of realization that roads often have negative impacts on aquatic environments,
36 scientists, managers, and planners all too often fail to adequately address them within the high
37 pressure developing areas of the tropics. The challenge remains to properly identify the primary
38 drivers and mechanisms influencing road-related environmental disturbances and to uncover
39 important process interactions that span the realm of hydrological, geomorphological, ecological and
40 social (including governance) dynamics. The seven case studies we summarize from Ecuador, Brazil,
41 Thailand, Malaysia, the U.S., and Columbia demonstrate some of the diversity and complexity of the
42 impacts associated with road-building and maintenance throughout the tropics, as well as other
43 locations world-wide. Those studies, and others mentioned in the review, demonstrate that while
44 good work has been done on understanding road-related hydrological and geomorphological
45 (sediment loading) impacts in the tropics, less work has been directed at understanding the direct and
46 indirect impacts of roads on aquatic organisms. Researchers in future endeavors should impress upon
47 their colleagues to hone their research and attempt to reveal the drivers underlying road impacts on
48 ecohydrological systems to facilitate sustainable development and management in ecologically
49 sensitive areas. Meanwhile, society should prepare for the likelihood that a changing climate may
50 create additional stressors on aquatic systems in general, in particular, those negatively affected by
51 road runoff during storms. We believe that not only are new research projects and experiments
52 needed, but new frameworks should be employed, including transdisciplinary approaches, that will
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3 facilitate the study of complex natural and anthropogenically-affected systems over a wide range of
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5

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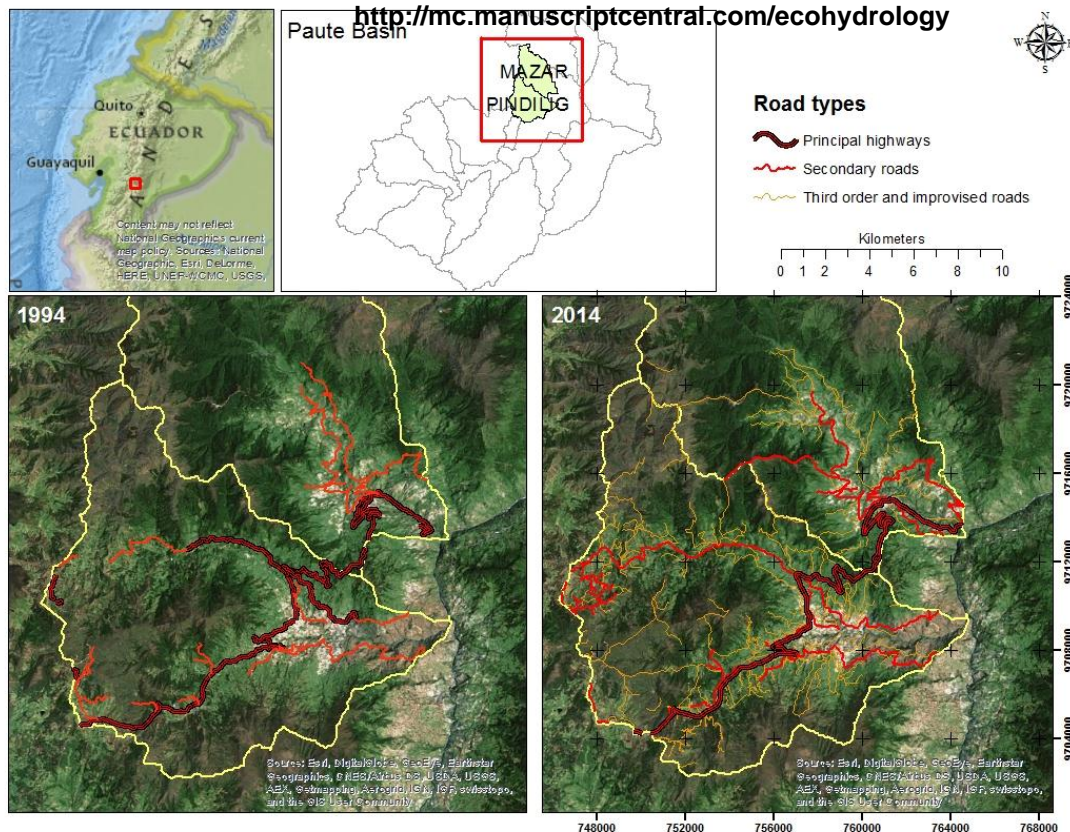


Figure 1. Rural road expansion for infrastructure development, Lower Paute Basin, Ecuador. Recent road expansion in Ecuador suggests some important and unintended consequences of planned development strategies in rapidly developing tropical regions. The government of Ecuador has recently promoted the generation of hydroelectric power to meet national electrification needs, instigate development, and produce renewable and more efficient energy (Peláez-Samaniego et al., 2007). Specifically they have backed the development of rural hydropower projects, most without extensive river impoundments and reservoirs in regions where sedimentation behind dams has been a historical challenge. Hydropower projects are developed in high elevation watersheds to harness the potential energy of mountainous terrain. This development scheme, and the spatially dispersed nature of these hydro facilities along river networks necessitates an extensive road system. Empirical observations indicate that road networks in this terrain require numerous slope excavations and are associated with widespread consequences related to erosion and sedimentation of receiving waters, including landslides and debris flows (Sarmiento 2010).

One such project is the Mazar-Dudas project, in Cañar province, initiated in 2005 within the Lower Paute River basin. The Paute Basin, located in the southern Ecuadorian Andes, forms part of the Amazon River Basin, with altitudes ranging from 1,991 msl to 4,680 msl in the upstream areas (Vanacker et al., 2007). The Mazar Dudas project provides around 125 GWh/year to the National Interconnected System (CELEC EP, HIDROAZOGUEZ 2016). Analysis of historical imagery for the Mazar and Pindilig (containing the Dudas catchment) watersheds in the Lower Paute basin illustrates the rapid rate of road development common across the tropics. We obtained historical imagery for 1994 and 2014 and digitized principal highways that connect the region to larger cities to the southwest and northeast, secondary roads that provide access to small settlements and project sites, and tertiary roads often constructed for temporary access and excavation for transport of material or by rural settlers living in or expanding into the region. Over the period bracketed by this analysis, road development has expanded considerably. Most notable is the extensive network of tertiary roads evident on recent imagery. Total road length in these two catchments has increased from 128.6 km in 1994 to 457.3 km in 2014, a more than doubling of the road network. Road density increased from 0.38 km/km² in 1994 to 1.37 km/km² in 2014. (Image sources: Universidad del Azuay, Instituto de Estudios de Régimen Seccional del Ecuador, 1994; Ministerio de Agricultura, Ganadería, Acuacultura y Pesca, 2104.)

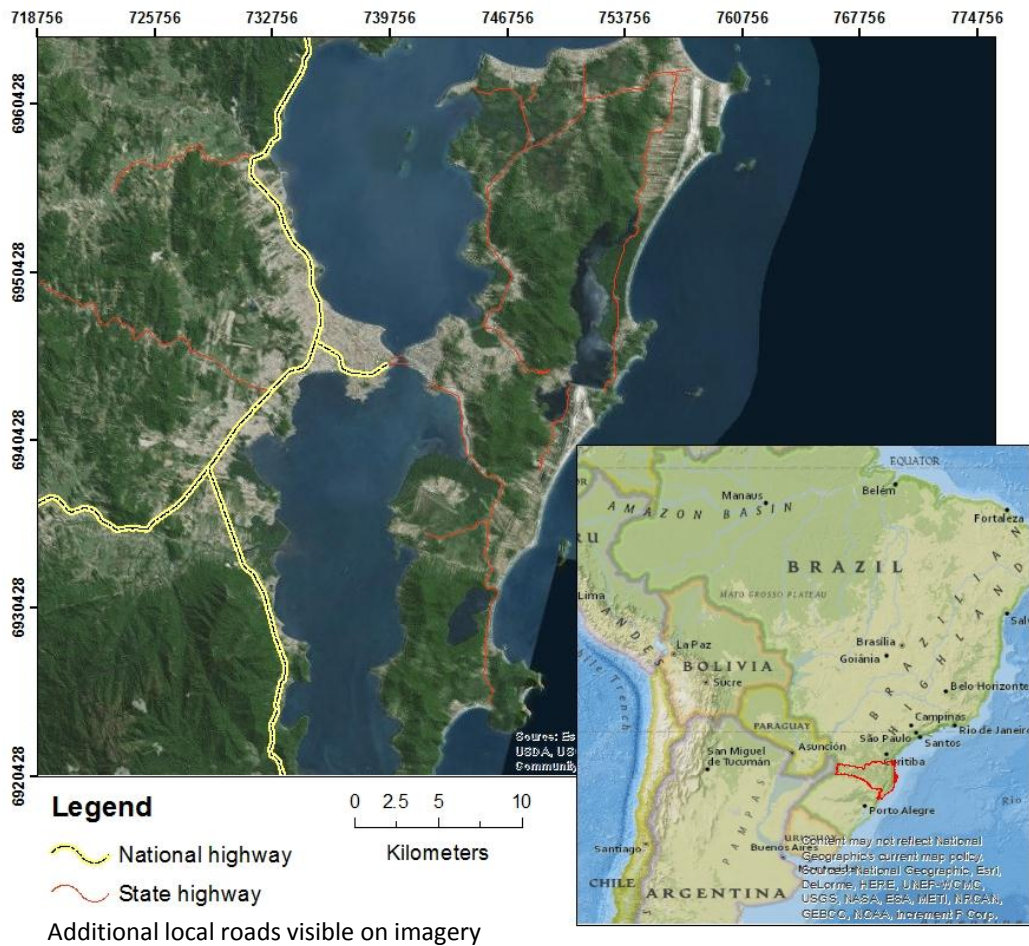


Figure 2. Tourism and rapid urbanization in the tropics, Florianópolis, Brazil. Florianópolis, capital of Santa Catarina State (outlined in red on inset), is located in the Southern Region of Brazil, and includes a coastal island (655 km²) and mainland region (20 km²), which has been linked since 1926 by the Hercílio Luz Bridge (Oliveira, 2003; Ferreira et al., 2009). The coastal area's 98 km of beaches have been a major draw for tourism, driving the development of new roads and resulting in subsequent urbanization (da Silva et al., 1996) and a 23% population increase in the last decade (Guerra et al., 2016). These changes have had critical impacts on both the landscape and organisms, including altered plant species distribution (Gandolfo and Hanazaki 2014), increased metal contamination in coastal mangroves and lagoons (da Silva et al., 1996), and brackish water quality degradation (Fontes et al, 2006). Additionally, the zooplankton community has been negatively affected due to an increase in salinity instigated by changes to the terrestrial and marine morphology in the South Bay of Santa Catarina Island (Veado and Resgalla, 2005). Throughout this period of intensive growth, Florianópolis has faced serious urban mobility problems, related to constraints on road building (hilly terrain, coastal wetlands), large numbers of vehicles, and inefficient public transportation. Despite these challenges, new sustainable city initiatives have aimed at addressing transportation challenges and implementing car-free neighborhoods to limit additional road development and associated impacts (Borges and Goldner, 2015; Guerra et al., 2016).



Figure 3. Impacts of mountain roads on runoff and erosion in Pang Khum, Thailand (Left: Villagers repairing road ruts to allow passage during the rainy season. Right: A new bridge is being constructed to replace the old log bridge after funds became available). Northern Thailand, like many mountainous regions of the tropics, contains remote mountain roads, most constructed by hand following historical foot/animal tracks. Regionally, the shift toward the cultivation of marketable crops followed the evolution of road and irrigation infrastructures, the development of urban market demands for agriculture products, and the initiation of crop substitution programs (Ziegler et al., 2009). The road network has subsequently expanded in the mountains to support national security, law enforcement (narcotics, anti-logging), population growth, and agriculture intensification (Ziegler and Giambelluca, 1997; Ziegler et al., 2004). As with many other roads built on steep terrain in the region, sound design and maintenance guidelines were often not implemented to limit potential environmental impacts (Ziegler et al., 2000). Instead, roads are largely left unpaved, designed without effective water drainage systems, and terminated at streams or temporary log bridges. Increased sediment loads in northern Thailand are a concern, but most research and outreach programs addressed accelerated hillslope erosion associated with hilltribe agriculture (Sidle et al., 2006), which was beginning to intensify following the ban on the production of opium—a cash crop that caused exceptionally high erosion on steep hillslopes (Ziegler et al., 2009).

Research in the 94-ha Pang Khum Experimental Watershed, established in 1997, has led to a number of discoveries illustrating how roads in this landscape were impacting hydro-ecological processes: (a) roads often produced sediment loads that were disproportional to the area they occupied in the catchment (Ziegler et al., 2004); (b) the erodibility of the native road surface was dynamic, effected by the generation and removal of easily entrained surface material by road surface maintenance activities, vehicular detachment, and overland flow (Ziegler et al., 2001a,c; 2002); (c) road maintenance was intermittent, performed at the end of the wet monsoon period as needed, during the wettest part of the rainy season when storms could mobilize large volumes of fresh sediment made available by the maintenance (Ziegler et al. 2001b); (d) roads within heterogeneous landscapes were important in converting substantial amounts of overland flow into elevated stream peak flows (Cuo et al., 2006; 2008); (e) naturally occurring buffers were potentially an economical means of mitigating road-related impacts in upland basins when combined with measures limiting sediment and runoff production on contributing road sections (Ziegler et al., 2006); and (f) even the sparse road network in Pang Khum contributed to shallow mass failures where road runoff water was concentrated on the hillslope (MacNamara et al., 2006). Collectively, the findings from northern Thailand highlight the role of roads in accelerating erosion, destabilizing hillslopes, and increasing stream sediment loads (Sidle and Ziegler, 2012). While the long-term consequences of alteration in stream functioning on downstream aquatic environments may have been severe, they were largely unrecognized by officials charged with catchment planning and transportation management. Importantly, the current building/maintenance practices were simply deemed acceptable, and in line with the need to improve transportation infrastructure to meet development goals (Sidle and Ziegler, 2012; Ziegler et al., 2009).



Figure 4. Stream sedimentation from logging roads in Bukit Tarek, Malaysia (Left: High density of logging roads cover the opposite hillslope; Right: Hortonian overland flow exits a steep skid trail on to the main logging trail through a deep gully that has formed since logging operations ceased). Beginning in the 1990s several research experiments were carried out in the sub-catchments within the Bukit Tarek Experimental Watershed, in Peninsular Malaysia, which at the time was covered with secondary forest that had regenerated following logging in the 1960s (Noguchi et al., 1997). The site was under the auspices of the Forest Research Institute of Malaysia (FRIM) and provided an opportunity for detailed catchment- and hillslope-scale investigations of the hydrology of a recovering catchment. Following new logging operations conducted in the early 2000s, additional experiments were undertaken to investigate the hydrologic and geomorphologic impacts of logging road construction and timber extraction activities. Like most intensive logging operations in the region, roads were not designed to mitigate potential runoff or erosion impacts. For example, inboard ditches were not used to prevent surface runoff from flowing onto unprotected hillslopes; and road/trail surfaces were not treated with rock/gravel surfaces to reduce erosion. The logging road and skid trail length of 3990 m in the catchment (13 ha) equated to a density of about 30 km/km² and was approximately seven times longer than the stream network in one basin. Sidle et al. (2004) estimated surface erosion from logging roads and skid trails to be $272 \pm 20 \text{ Mg ha}^{-1} \text{ year}^{-1}$ and $275 \pm 20 \text{ Mg ha}^{-1} \text{ year}^{-1}$, respectively. Nearly 80% of the soil loss from the road system (including log landings) was delivered to the stream in the first 16 months after logging commenced (Sidle et al., 2004). Further, much of the surface runoff generated during storms exited the road onto the unprotected hillslope, initiating gullies (Negishi et al., 2007, 2008). Sediment loading to the stream is high owing to the direct connectivity of the two systems (cf. Sidle., 2010). These impacts can be long-lasting given the persistence of roads cut deep into hillslopes to generate overland flow even after abandonment (Ziegler et al., 2007). Sidle and Ziegler (2012) portray the roads in Bukit Tarek as representative of highly intensive logging operations that have erosion rates that exceed the highest rates reported from any agricultural practices in the region. Further they argued that such erosion and sedimentation problems related to roads are proliferating in Southeast Asia, where the impacts on the environment are under studied.

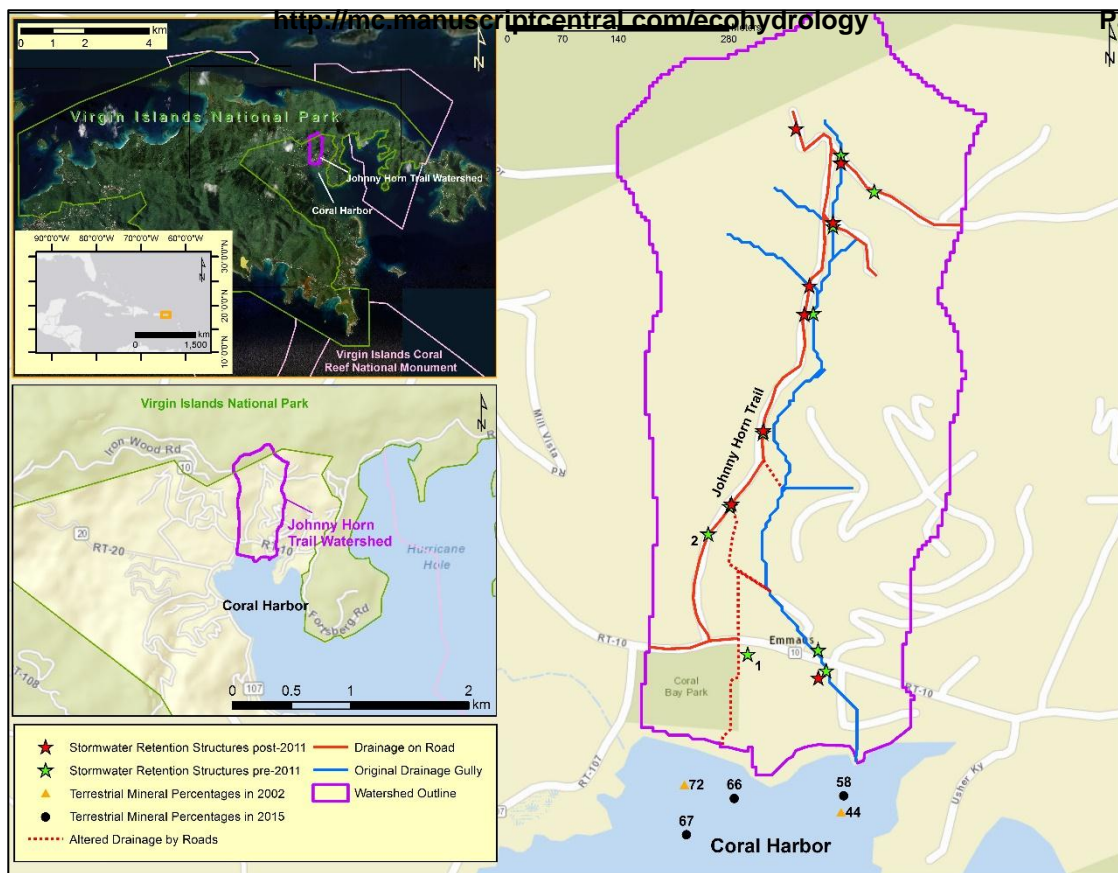


Figure 5: Enhanced sedimentation in sensitive coastal areas by roads, US Virgin Islands.

Coral Harbor, St. John, US Virgin Islands, has experienced a ~10x increase in coastal sedimentation rates since 1900 (Brooks et al., 2015). Numerous studies link enhanced terrestrial sediment input with road construction and use in St. John and the Caribbean (Bégin et al., 2014; Brooks et al., 2015; Ramos-Scharrón and MacDonald, 2007b; Ramos-Scharrón, 2012). Rapid new home construction in Coral Harbor, a 4x increase in the last 30 years (US Census, 2014), has resulted in increased usage of existing dirt roads (Reed, 2012). Dirt roads on St. John deliver greater amounts of terrigenous sediment to the coastal zone than paved roads (Ramos-Scharrón and MacDonald, 2007b). The visible results of this, including turbid sediment plumes in the bay, have increased local concern for their vulnerable coastal ecosystems. These ecosystems (e.g., coral reefs) are critical to the fishing and tourism industries in the tropics and are pressured by increasing turbidity and sediment deposition (Brown and Tompkins, 2012; Edmunds and Gray, 2014; Edmunds et al., 2014).

The Johnny Horn Trail in St. John illustrates how rapid development within a watershed leads to increased coastal deposition in coastal systems. Johnny Horn Trail (JHT) is a dirt road (red line above) in a small watershed along the north coast of Coral Harbor that was built during original colonization in early 1700s. In the late 1990's, JHT was still a vegetated, infrequently used path (Reed, 2012). It is closely paralleled by a natural gully (blue line) that drains the watershed (Browning et al., 2016). In the early 2000's maintenance (grading) began on JHT, transforming it from a permeable vegetated path to semi-impermeable dirt road (Reed, 2012). This action increased overland storm-water flow and triggered preferential flow down the road (red line) and onto a new path (dashed red line). This flow went directly into Coral Harbor, bypassing existing water retention structures (green stars 1 & 2). A corresponding increase in regional turbidity was documented directly downslope of the new path, where prior it only existed downslope of the natural gully (Reed, 2012). Surficial marine samples in 2002 (orange triangles) showed higher percentages of terrestrial minerals downslope of JHT (dashed red line) compared to samples downslope of the gully (blue line). In 2011, measures were taken to direct flows off JHT and retain storm-water (using swales, raingardens, and culverts; shown as red stars (Reed, 2012). Mineralogy was updated in 2015 and new samples (black circles) were taken from the same general areas. We find terrestrial mineral percentages had decreased by ~8% downslope of the road and increased by ~25% near the natural gully since 2002. This suggests that the swales and raingarden were successful. These data show why road placement is crucial in steep tropical watersheds. (Unpublished data from Browning et al., 2016 study.)



Figure 6. Metal loading from urban roads (pictured: Manoa Stream, located in an urban neighborhood in Honolulu, Hawaii). The finding by the National Contaminant Biomonitoring Program (NCBP) that fish from Manoa Stream in Honolulu, Hawaii (USA) had some of the highest concentrations of selected heavy metals in the USA prompted research to explore the linkages between stream pollution and heavy metal contamination on urban roads (Sutherland and Tolosa., 2000). An initial investigation examined a variety of trace metals in the bed sediments of a 6-km section of Manoa Stream. Sutherland (2000a,b) reported concentrations of Cu, Pb, and Zn indicating anthropogenic enhancement, with Pb the most drastically impacted. A detailed follow-on examination of background (uncontaminated) soil, roadside soils, and roads sediments indicated that Pb, and to a lesser extent Zn and Cu, were anthropogenically enriched in the catchment (Sutherland et al.2000). Given the proximity of most samples to roadways, the researchers concluded that automotive emissions plus vehicle wear were likely the primary contributors of trace metals to the roadside system draining to the stream. The Manoa study presented strong circumstantial evidence supporting a link between terrestrial Pb contamination and the highest whole-body fish concentrations surveyed by NCBP (Sutherland et al., 2000). The work demonstrated the importance of roads in generating and conveying pollutants to stream channels—even in settings that are not intuitively associated with substantial pollution. Subsequent work in Honolulu found very high concentrations of lead in the Nu’uanu watershed (Andrews, 2002). Another study assessing the potentially bioavailable Pb in upper stream bed sediment layers of the Palolo, Pukele, and Waiomao streams in Honolulu found that contamination of bed sediments was associated with the direct transport of legacy Pb from the leaded gasoline era to stream channels via a dense network of storm drains linked to road surfaces, presenting a significant potential risk of bed sediments to bottom-dwelling organisms (Hotton and Sutherland, 2016).

To add context, a recent study conducted Singapore showed that road sediments on residential roads can have heavy metal concentrations that are comparable to industrial roads because (a) transport of road dust from one location to another by moving vehicles; inefficient removal of sediments and sorbed elements during sweeping; and metals also being derived from the materials to build road surfaces and traffic safety measures such as guard rails (Yuen et al., 2012). Collectively, these urban investigations revealed the importance of roads as potentially major stressors to urban aquatic environments.

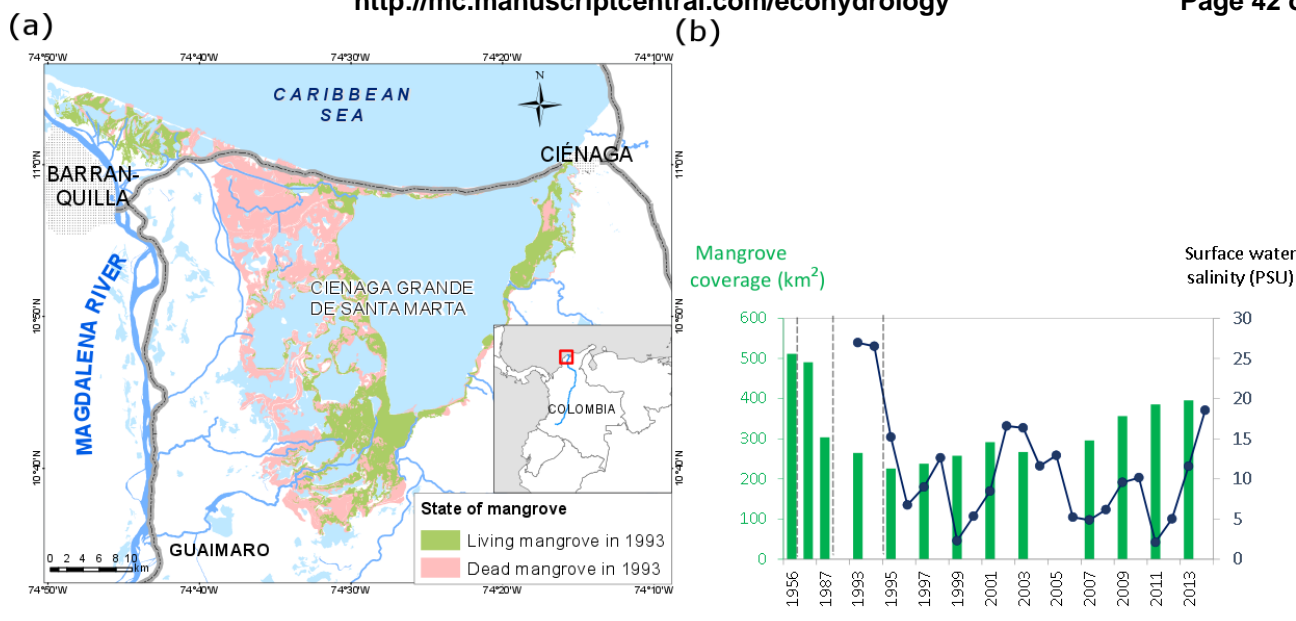


Figure 7. Impact of a major transportation corridor on the estuarine ecosystem of the coastal estuary complex Ciénaga Grande de Santa Marta (CGSM), Colombia. (a) The CGSM has a current mangrove population of 278 km² and became a UNESCO biosphere reserve in the late 1990's. It is delimited on its western boundary by the Magdalena River and on the east by a coastal mountain range. The wetland also receives fresh water and sediments from the east through three main rivers that descend from the Sierra Nevada de Santa Marta. Between 1950 and 1960, a main road connecting the cities of Barranquilla and Ciénaga was constructed along the wetland's northern perimeter, blocking the flow of sea water and hindering the natural functioning of the wetlands' ecosystems. Additional modification and blockage of freshwater inflows from the Magdalena River, due to the construction of a road on the western side of the CGSM in 1975-1980 from Barranquilla to the settlement of Guaimaro also contributed to degradation of this aquatic ecosystem by blocking freshwater input from the Magdalena River. **(b)** Due to restoration efforts by environmental authorities, mangrove coverage and basal area has recovered since, but will not reach original extent due to the hydrological transformations of the wetland complex after the construction of the roads and consequent hydrologic isolation. With the loss of hydrological connectivity, the wetland has lost resilience to withstand drought episodes of the El Niño/Southern Oscillation (ENSO); during these periods (e.g., 2002-2004 and 2012-2015) interstitial and superficial salinity increased substantially beyond the tolerance levels of mangrove species in many areas of the wetland (Geographical data and surface water salinity data supplied by INVEMAR, 2015).