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1 **Structural diversity requires individual optimization of**
2 **ethanol concentration in polysaccharides precipitation**

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23 **Abstract:**

24 Ethanol precipitation is one of the most widely used methods for preparing natural
25 polysaccharides, in which ethanol concentration significantly affects the precipitate
26 yield, however, is usually set at 70-80%. Whether the standardization of ethanol
27 concentration is appropriate has not been investigated. In the present study, the
28 precipitation yields produced in varied ethanol concentrations (10-90%) were
29 qualitatively and quantitatively evaluated by HPGPC (high-performance
30 gel-permeation chromatography), using two series of standard glucans, namely
31 dextrans and pullulans, as reference samples. The results indicated that the response
32 of a polysaccharide's chemical structure, with diversity in structural features and
33 molecular sizes, to ethanol concentration is the decisive factor in precipitation of these
34 glucans. Polysaccharides with different structural features, even though they have
35 similar molecular weights, exhibit significantly different precipitation behaviors. For a
36 specific glucan, the lower its molecular size, the higher the ethanol concentration
37 needed for complete precipitation. The precipitate yield varied from 10% to 100% in
38 80% ethanol as the molecular size increases from 1 kDa to 270 kDa. Our trials, using
39 different ethanol concentrations to extract the polysaccharides from water extracts of
40 eight natural materials, demonstrate and confirm that natural polysaccharides respond
41 differently to different concentrations of ethanol in ethanol precipitation extractions.
42 This paper aims to draw scientists' attention to the fact that, in extracting natural
43 polysaccharides by ethanol precipitation, the ethanol concentration must be
44 individually optimized for each type of material.

45

46 **Keywords:** Ethanol precipitation; Natural polysaccharides; Polysaccharide

47 structures; Ethanol concentration

48

49 **1. Introduction**

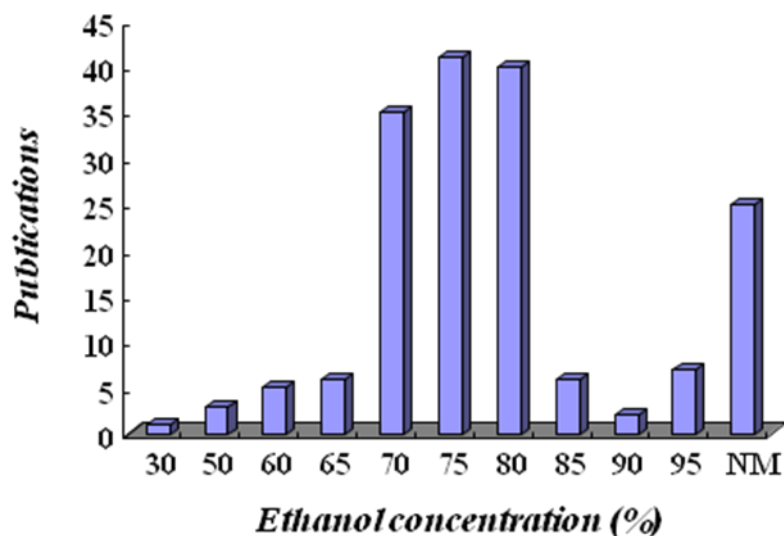
50 Natural polysaccharides are important biologically active components of many
51 medicinal herbs, and have thus been attracting increasing multidisciplinary research
52 interest [1]. Several challenges exist in this research field: crystal structure
53 determination, quality control, *in vivo* detection, and molecular target determination
54 [2-5]. However, before these challenges can be effectively tackled, a more
55 fundamental problem must be addressed, namely, accurate, consistent sample
56 preparation [6-8].

57

58 As the commonly-used sample pretreatment operation, ethanol precipitation is
59 generally the first step in preparing crude polysaccharides from water extracts [9-11].
60 To some extent, the methodology of ethanol precipitation for some specific samples,
61 e.g. *Citrus* pectins [12], inulinases [13], water extracts of Danshen (*Salvia miltiorrhiza*
62 Bge.) and Chuanxiong (*Ligusticum chuanxiong* Hort.) [14], was investigated in terms
63 of ethanol concentration, supernatant pH value, and refrigeration temperature. The
64 results indicated that the yield of total saccharides increases as ethanol concentration
65 increases. The effect of supernatant pH value is not very significant. Although
66 temperature decrease from 25-5 °C also leads to an increase of saccharide yield, as
67 the precipitation is usually performed at around 4 °C in a laboratory refrigerator,
68 ethanol concentration seems to be the most important variable in ethanol precipitation.

69 We found and reviewed a total of 171 publications in *ScienceDirect* from Jan 1 to
70 May 30, 2013 (Figure 1) in which ethanol precipitation was used for the preparation
71 of natural polysaccharide. In more than 70% of these publications—i.e., an

72 overwhelming majority--the ethanol concentration used was 70-80%, which seems a
73 standardized condition. Approximately 15% of these papers did not mention the
74 ethanol concentration they used, suggesting that ethanol concentration was not
75 considered important. And none of these publications include an optimization of the
76 ethanol concentration. Some questions naturally arise: Will a fixed ethanol
77 concentration (e.g., 70-80%) completely precipitate all polysaccharides in every type
78 of natural product? Will varied ethanol concentrations extract different
79 polysaccharides from the same sample? Will different polysaccharides within similar
80 molecular sizes share the same optimal ethanol concentration? Should ethanol
81 concentration be optimized for each natural product?



82

83 Fig. 1 The statistical results of the ethanol concentration used for polysaccharide
84 precipitation from natural products in the published paper in *Science Direct* Database
85 since Jan, 2013 (data was processed on May 30, 2013) (NM: not mentioned)

86

87 In order to answer these questions, in the current study we first used two series of
88 reference glucans, branched dextrans and unbranched pullulans, to qualitatively and
89 quantitatively evaluate the effect of ethanol concentration on the precipitation of
90 polysaccharide by HPGPC (high performance gel permeation chromatography).
91 Multiple parameters that could affect the ethanol precipitation results, such as
92 structural features, molecular size, and ethanol concentration, were systematically
93 investigated. Eight commonly-used polysaccharide-rich herbal/fungi materials were
94 then used as natural samples to determine if and how variation in ethanol
95 concentration affected polysaccharide precipitation.

96

97 **2. Experimental**

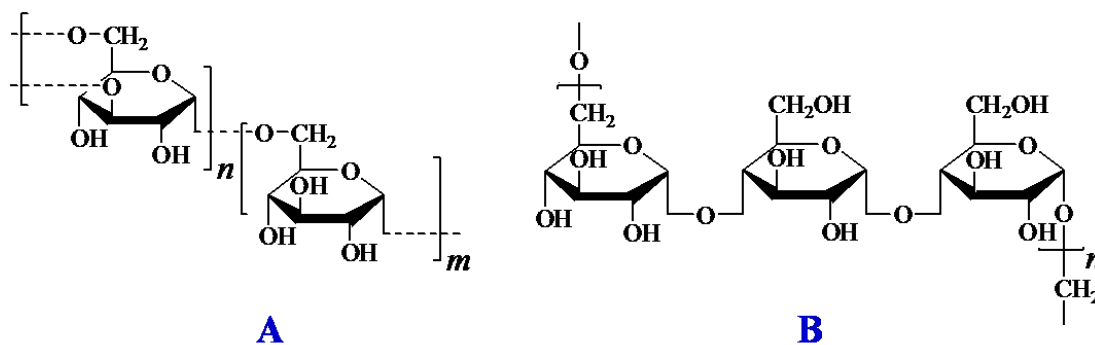
98 **2.1 Materials and chemicals**

99 Eight commonly used medicinal herbs/fungi, namely *Angelica sinensis*,
100 *Codonopsis pilosula*, *Dendrobium officinale*, *Ligusticum wallichii*, *Panax ginseng*,
101 *Panax notoginseng*, *Ganoderma lucidum* and *Ganoderma sinensis*, were selected as
102 representative polysaccharide-rich natural samples. The herbal/fungi materials were
103 purchased from herb markets in mainland China and were authenticated by Dr. Chen
104 Hubiao. The voucher specimens were deposited at School of Chinese Medicine, Hong
105 Kong Baptist University, Hong Kong, China.

106

107 Deionized water was prepared by Millipore Milli Q-Plus system (Millipore,
108 Bedford, MA, USA) and ethanol was purchased from RCI Labscan Ltd. (Bangkok,

109 Thailand). The reference glucan substances, dextrans and pullulans (Figure 2) with
110 known molecular sizes (1-270 kDa for dextrans, 6-805 kDa for pullulans), and
111 glucose were bought from Sigma (St. Louis, MO, USA).



113 Fig. 2 Chemical structures of reference polysaccharides, branched dextrans (A) and
114 unbranched pullulans (B).

116 2.2 Preparation of water extracts

117 Herbal material was dried and powdered. For each sample, 10 g of powder was
118 first ultrasonically extracted with 100 mL of acetone for 1 h to remove liposoluble
119 substances, and then reflux-extracted with water at 100 °C (100 mL) for one hour,
120 twice. The decoctions were combined and centrifuged at 3500 rpm for 10 min. The
121 total sugar content in the solution, calculated as glucose, was adjusted to about 2.0
122 mg/mL for further analysis [15].

124 2.3 Ethanol precipitation

125 Aqueous stock solutions of dextrans and pullulans with different molecular
126 weights (2 mg/mL, 5 mL) were precipitated by adding ethanol to make a final
127 concentration of 10-90% (v/v), respectively, and left overnight (12 h) at 4 °C. After

128 centrifugation (3500 rpm) for 10 min, the precipitate was collected, washed with
129 ethanol, dried (water bath, 70 °C) to remove any residual ethanol, and then was
130 completely re-dissolved in 5 mL hot water (60 °C) by drastic mechanical vibration for
131 2 hours. Finally, each solution was filtered through a 0.22 µm syringe filter (Agilent
132 Technologies, USA) for HPGPC analysis [16]. Solutions of the herbal samples were
133 prepared using the same method.

134

135 **2.4 HPGPC analysis**

136 HPGPC analyses were performed on an Agilent 1100 series (Agilent
137 Technologies, Palo Alto, CA) equipped with DAD and ELSD and two tandem TSK
138 GMPW_{XL} columns (300 mm×7.8 mm i.d., 10 µm) at 40 °C. Ammonium acetate
139 aqueous solution (20 mM) was used as mobile phase at a flow rate of 0.6 mL/min.
140 DAD was set at 260nm and 280 nm. The parameters of ELSD were set as: the drift
141 tube temperature was 120 °C, and nebulizer nitrogen gas flow rate was at 3.2 L/min,
142 impact-off mode. An aliquot of 20 µL solution was injected for analysis. Because
143 polysaccharides have no UV absorption, UV detector was set at 260 nm and 280 nm
144 in order to monitor the existence of nucleic acid and/or peptide in this study.

145

146 Aqueous stock solutions of dextrans and pullulans with different molecular
147 weights were diluted to appropriate concentrations for the construction of calibration
148 curves. At least five concentrations of each solution were analyzed in duplicate, and

149 then the calibration curves were constructed by plotting the logarithm of the peak area
150 versus concentration of each analyte.

151

152 **3. Results and discussion**

153 **3.1 Impact of ethanol concentration, molecular size and structural features**

154 The reference standards dextrans and pullulans were precipitated at different
155 concentrations of ethanol (10-90%). The obtained precipitates were quantitatively
156 determined using the established HPGPC calibration curves (Table 1). The recovered
157 yields of these glucans are shown in Figure 3, and their individual HPGPC
158 chromatogram can be found in Supplementary Figures 1 and 2.

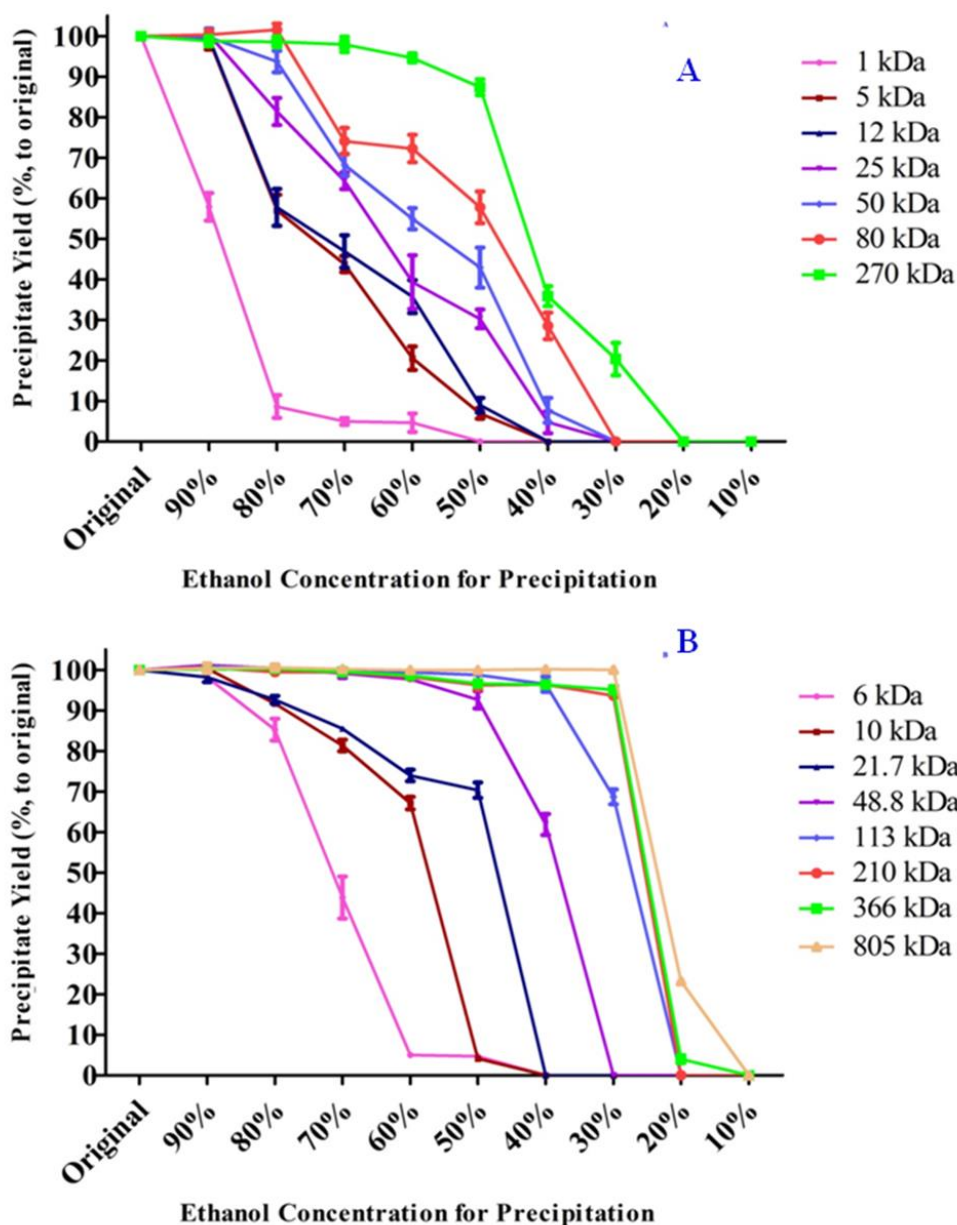
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160 **Table 1** Calibration curves of the HPGPC quantitative assay of dextrans and
 161 pullulans.

	Mw (kDa)	Range (mg/mL)	Equation	R²
<i>Dextrans</i>	1	0.13~4.23	$y=1.8387x+3.8191$ ^a	0.9981
	5	0.23~3.68	$y=2.0166x+3.7503$	0.9975
	12	0.22~3.59	$y=1.9444x+3.7895$	0.9997
	25	0.11~3.51	$y=1.749x+3.7965$	0.9971
	50	0.12~3.72	$y=1.8751x+3.7307$	0.9989
	80	0.21~3.30	$y=1.9263x+3.6545$	0.9991
	270	0.23~3.67	$y=1.9301x+3.671$	0.9973
<i>Pullulans</i>	6	0.12~3.69	$y=1.812x+3.8354$	0.9991
	10	0.21~3.41	$y=1.7843x+3.8979$	0.9994
	21.7	0.15~4.89	$y=1.797x+3.7683$	0.9987
	48.8	0.11~3.48	$y=1.8902x+3.8747$	0.9974
	113	0.10~3.32	$y=1.8518x+3.7968$	0.9963
	210	0.13~4.14	$y=1.81x+3.7918$	0.9955
	366	0.12~3.84	$y=1.7973x+3.8851$	0.9955
805	0.11~3.66	$y=1.8666x+3.7908$	0.9967	

162 ^a X and Y means the logarithms of corresponding saccharide concentration and HPGPC peak area.

163



164

165 Fig. 3 Effects of ethanol concentration (10-90%) on the precipitation of dextrans (A)

166 and pullulans (B) from aqueous solution (n=3)

167

168 As demonstrated in Figure 3, both series of glucan standards exhibit a consistent

169 trend in that the precipitate yield steadily increases as ethanol concentration increases,

170 as investigated, from 10% to 90%. This is consistent with the findings in the

171 published report [14].

172 More importantly, results suggest that molecular size affects yield: those with
173 larger molecular size could be easily precipitated at a lower ethanol concentration
174 (Figure 3). For example, when ethanol concentration is set at 50%, the precipitate
175 yield reaches 90% for 270 kDa of dextran, while it decreases to zero for 1 kDa. At the
176 most commonly used ethanol concentration of 80%, precipitate yield from dextrans
177 increased from 10% to 100% as molecular size increased from 1 kDa to 270 kDa.
178 Among them, dextrans of both 5.0 kDa and 1.2 kDa generated close yields around
179 60%. In other words, not all polysaccharides could be completely precipitated in 80%
180 ethanol.

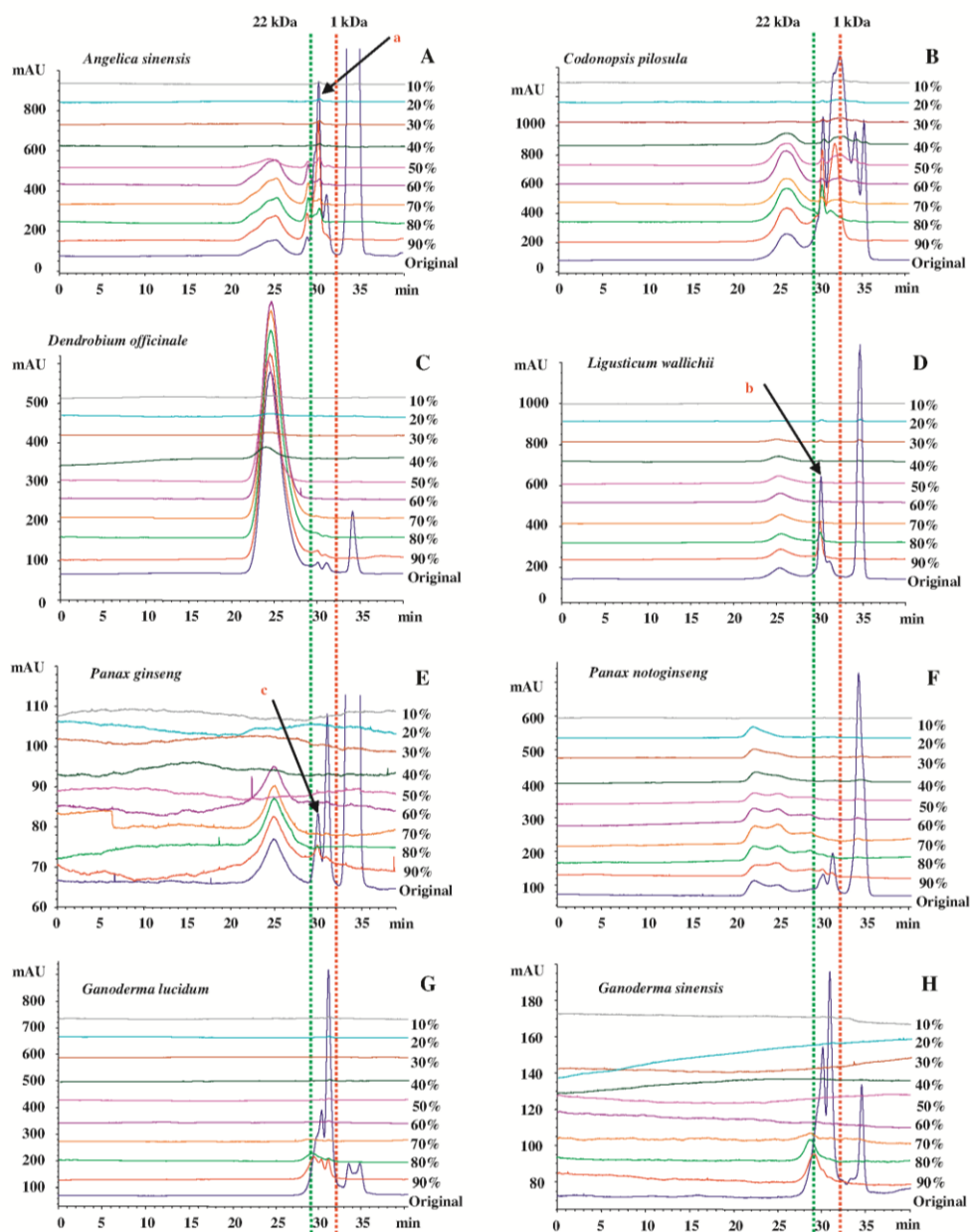
181 Another factor apparently affecting precipitate yield is the physical structure of
182 polysaccharides. Of these two kinds of reference polysaccharides, which have the
183 same sugar composition and similar molecular size, one is branched and the other is
184 unbranched (Figure 2), and they exhibited distinctively different ethanol precipitation
185 behaviors. Pullulan, the branched glucan, seems to be precipitated more easily. As
186 demonstrated in Figure 3, in 70% ethanol, pullulan with a molecular size of 48.8 kDa
187 was completely precipitated, while dextran with a similar molecular size of 50 kDa
188 was only 70% extracted. Moreover, in 90% ethanol, pullulan of 6 kDa was fully
189 precipitated, while dextran of 5 kDa was only 50% extracted. If we want to ensure a
190 high yield above 90%, the minimum ethanol concentration needs to be set at 50% for
191 pullulan with molecular sizes of 48.8 kDa and above, while for dextran with similar
192 molecular size, it needs to be 80%.

193 In summary, our results indicate that molecular size and structure influence
194 polysaccharide precipitation in ethanol. Different concentrations of ethanol precipitate
195 different polysaccharides to greater and lesser extents. Thus, for maximum yield of
196 any given polysaccharide, the ethanol concentration must be individually optimized.

197

198 **3.2 Further tests on natural samples**

199 Our tentative conclusion was confirmed in further tests on natural materials that
200 are rich in polysaccharides. First, high diversity in the molecule distribution pattern of
201 these investigated natural materials was revealed by HPGPC-ELSD-UV analysis. As
202 shown in Figure 4 (the originals), small molecules below 1 kDa are dominant in
203 *Angelica sinensis* and *Panax ginseng*; molecules in the range of 1-22 kDa are in the
204 majority in both *Ganoderma* species, yet in the minority in *Dendrobium officinale*;
205 macromolecules beyond 22 kDa were dominant in *Dendrobium officinale*, but hardly
206 found in *Ganoderma* samples. Any influence from nucleic acids or peptides was
207 excluded because the major ELSD peaks had no obvious UV absorbance under the
208 investigated conditions (data not shown).



209

210 Fig. 4 HPGPC chromatograms of water extracts of investigated herbal materials
 211 before (original) and after (10-90%) ethanol precipitation. A. *Angelica sinensis*, B.
 212 *Codonopsis pilosula*, C. *Dendrobium officinale*, D. *Ligusticum wallichii*, E. *Panax*
 213 *ginseng*, F. *Panax notoginseng*, G. *Ganoderma lucidum* and H. *Ganoderma sinensis*

214

215 These natural polysaccharides responded significantly differently to variations in
 216 ethanol concentration. For instance, as shown in Figure 4A, peak a in *Angelica*

217 *sinensis* was mostly released into its 90% ethanol precipitate; while for peak b in
218 *Ligusticum wallichii* and peak c in *Panax ginseng*, both possessing molecular size
219 similar to peak a (based on the identical retention time), only around half of them
220 were obtained after 90% ethanol precipitation (Figure 4D and 4E). More significantly,
221 the critical ethanol concentration for macromolecules beyond 22 kDa varied greatly in
222 different cases: it was 60% for *Angelica sinensis* (Figure 4A) and *Panax ginseng*
223 (Figure 4E), 40% for *Codonopsis pilosula* (Figure 4B), 50% for *Dendrobium*
224 *officinale* (Figure 4C), 30% for *Ligusticum wallichii* (Figure 4D), and 20% for *Panax*
225 *notoginseng* (Figure 4F).

226

227 **3.3 Impact of other factors**

228 In this study, other factors like precipitation (a) time, (b) temperature, and the (c)
229 original sample concentration were also evaluated for their impact on ethanol
230 precipitation of polysaccharides. The results indicated that they are not decisive
231 factors. (a) It was found that the solubility of polysaccharides in ethanol is so poor
232 that the precipitation of polysaccharides is always quickly finished within minutes.
233 Hence, time is not a factor. (b) Polysaccharides exhibit relatively stable solubility
234 between 4°C and room temperature (25°C). And the precipitation is usually performed
235 at around 4 °C in a laboratory refrigerator. Hence, temperature in the average
236 laboratory is not a factor. (c) As for the original sample concentration, no significant
237 variation in the precipitate yield was observed during a wide range of concentrations
238 (2-40 mg/ml, Supplementary Figure 3). While, theoretically, too little precipitate for

239 detection might be produced if the concentration is too low, in practice this is seldom
240 a cause for concern.

241

242 **4. Concluding remarks**

243 In conclusion, our work demonstrates that chemical diversity in polysaccharides
244 including different structural features and varied molecular sizes is the decisive factor
245 of ethanol precipitation of polysaccharides. Polysaccharides with different structural
246 features and molecular sizes will precipitate to different degrees in different
247 concentrations of ethanol. This has significant implications for researchers.
248 Currently, an overwhelming majority of researchers use 70-80% ethanol for
249 precipitation. This might be appropriate for comparison work, however, in cases
250 where the goal is different, e.g. to extract as much or as many polysaccharides as
251 possible from a given sample, it is strongly recommended that the ethanol
252 concentration used in precipitation of natural polysaccharides should be individually
253 optimized in advance.

254

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